

# Chapter 4

# Network Layer

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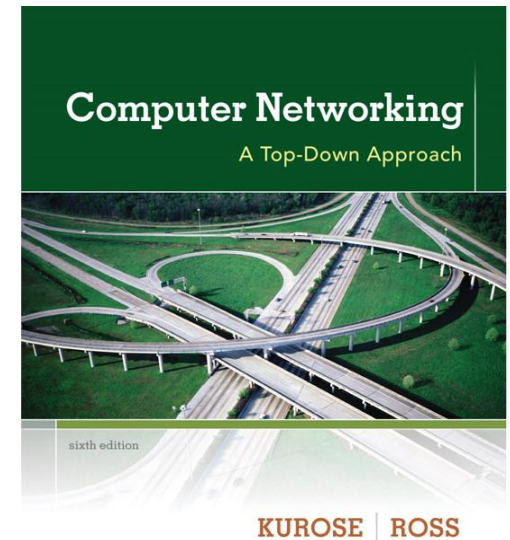
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**Computer  
Networking: A Top  
Down Approach**  
6<sup>th</sup> edition  
Jim Kurose, Keith Ross  
Addison-Wesley  
March 2012

# Chapter 4: network layer

## *chapter goals:*

- ❖ understand principles behind network layer services:
  - network layer service models
  - forwarding versus routing
  - how a router works
  - routing (path selection)
  - broadcast, multicast
- ❖ instantiation, implementation in the Internet

# Chapter 4: outline

## 4.1 introduction

## 4.2 virtual circuit and datagram networks

## 4.3 what's inside a router

## 4.4 IP: Internet Protocol

- datagram format
- IPv4 addressing
- ICMP
- IPv6

## 4.5 routing algorithms

- link state
- distance vector
- hierarchical routing

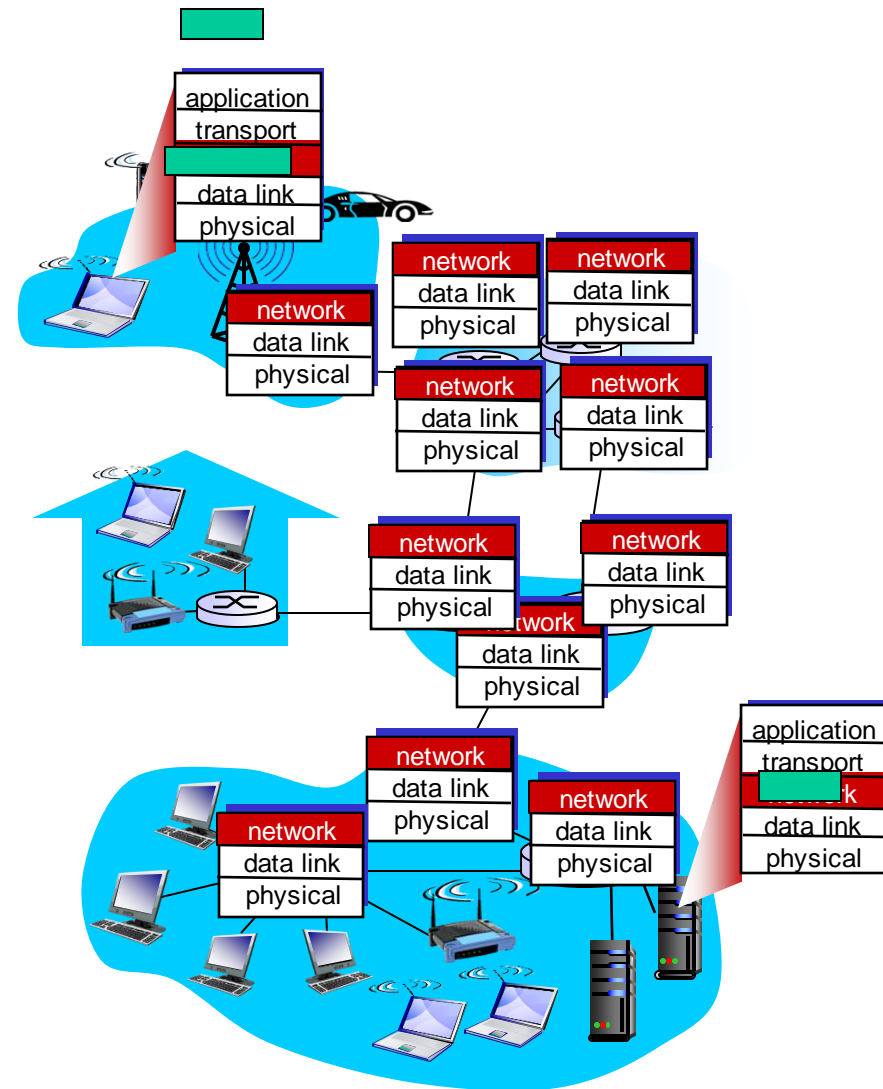
## 4.6 routing in the Internet

- RIP
- OSPF
- BGP

## 4.7 broadcast and multicast routing

# Network layer

- ❖ transport segment from sending to receiving host
- ❖ on sending side encapsulates segments into datagrams
- ❖ on receiving side, delivers segments to transport layer
- ❖ network layer protocols in *every* host, router
- ❖ router examines header fields in all IP datagrams passing through it



# Two key network-layer functions

❖ *forwarding*: move packets from router's input to appropriate router output

❖ *routing*: determine route taken by packets from source to dest.

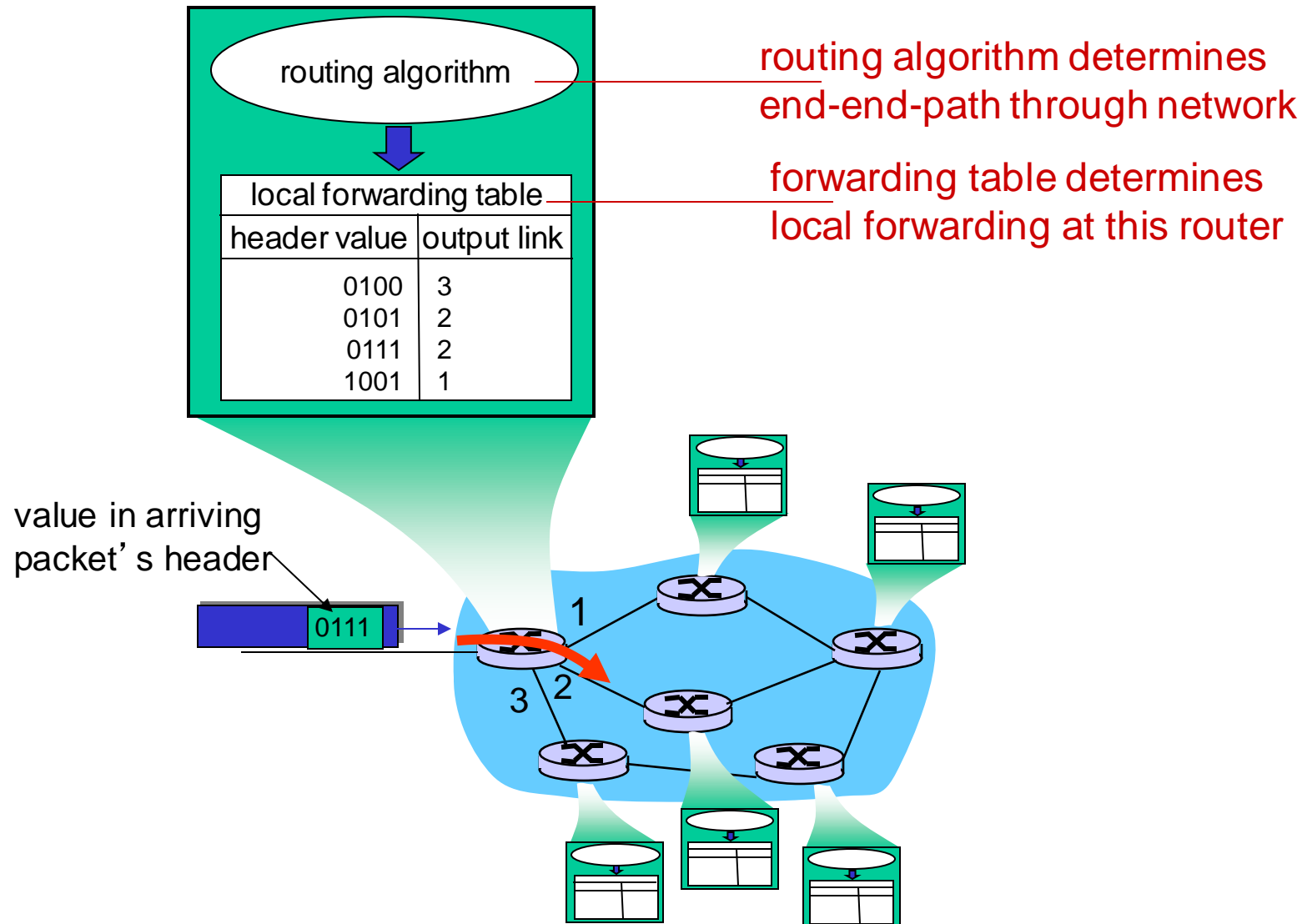
- *routing algorithms*

*analogy:*

❖ *routing*: process of planning trip from source to dest

❖ *forwarding*: process of getting through single interchange

# Interplay between routing and forwarding



# Network layer service models:

Network Architecture	Service Model	Guarantees ?				Congestion feedback
		Bandwidth	Loss	Order	Timing	
Internet	best effort	none	no	no	no	no (inferred via loss)
ATM	CBR	constant rate	yes	yes	yes	no congestion
ATM	VBR	guaranteed rate	yes	yes	yes	no congestion
ATM	ABR	guaranteed minimum	no	yes	no	yes
ATM	UBR	none	no	yes	no	no

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# Connection, connection-less service

- ❖ *datagram* network provides network-layer *connectionless* service
- ❖ *virtual-circuit* network provides network-layer *connection* service
- ❖ analogous to TCP/UDP connection-oriented / connectionless transport-layer services, but:
  - *service*: host-to-host
  - *no choice*: network provides one or the other
  - *implementation*: in network core

# Virtual circuits

“source-to-dest path behaves much like telephone circuit”

- performance-wise
- network actions along source-to-dest path

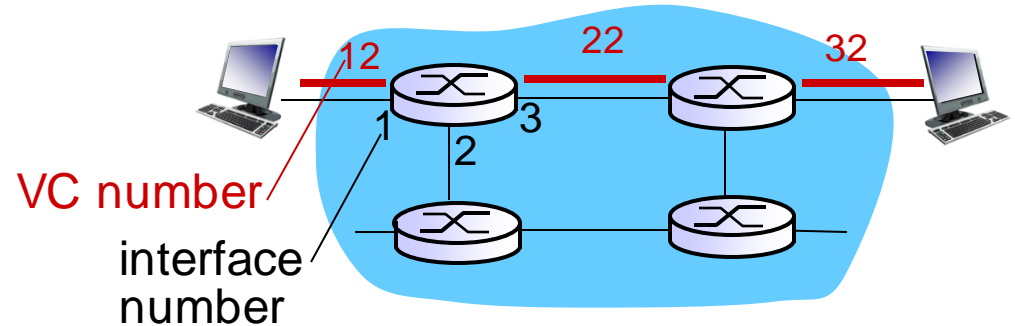
- ❖ call setup, teardown for each call *before* data can flow
- ❖ each packet carries VC identifier (not destination host address)
- ❖ every router on source-dest path maintains “state” for each passing connection
- ❖ link, router resources (bandwidth, buffers) may be *allocated* to VC (dedicated resources = predictable service)

# VC implementation

*a VC consists of:*

1. *path* from source to destination
  2. *VC numbers*, one number for each link along path
  3. *entries in forwarding tables* in routers along path
- ❖ packet belonging to VC carries VC number (rather than dest address)
  - ❖ VC number can be changed on each link.
    - new VC number comes from forwarding table

# VC forwarding table



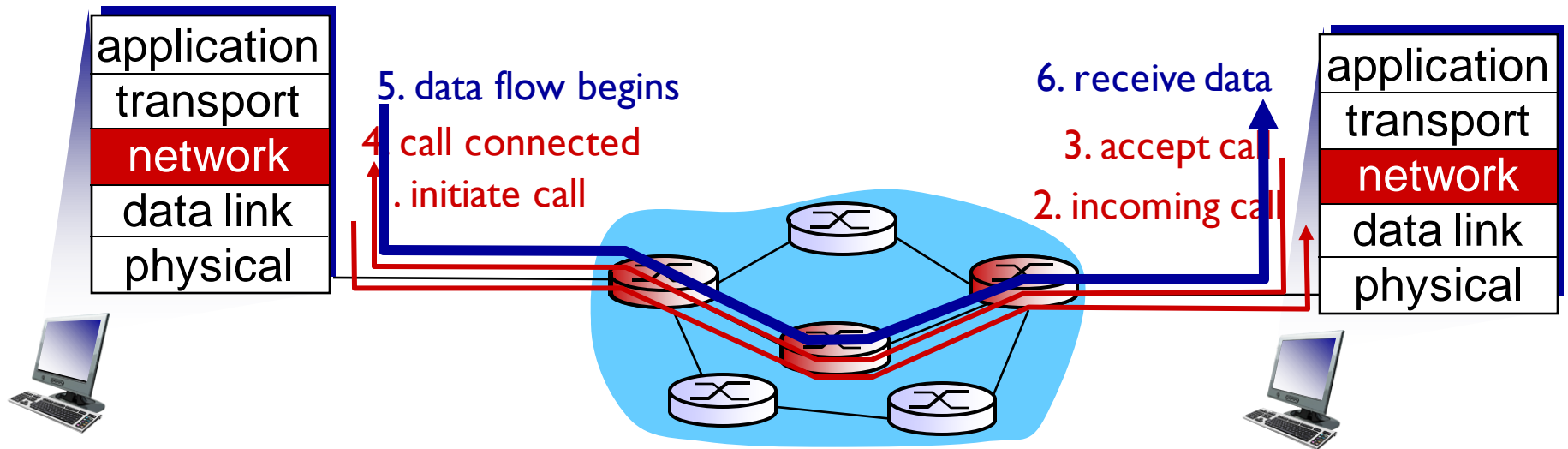
*forwarding table in northwest router:*

Incoming interface	Incoming VC #	Outgoing interface	Outgoing VC #
1	12	3	22
2	63	1	18
3	7	2	17
1	97	3	87
...	...	...	...

***VC routers maintain connection state information!***

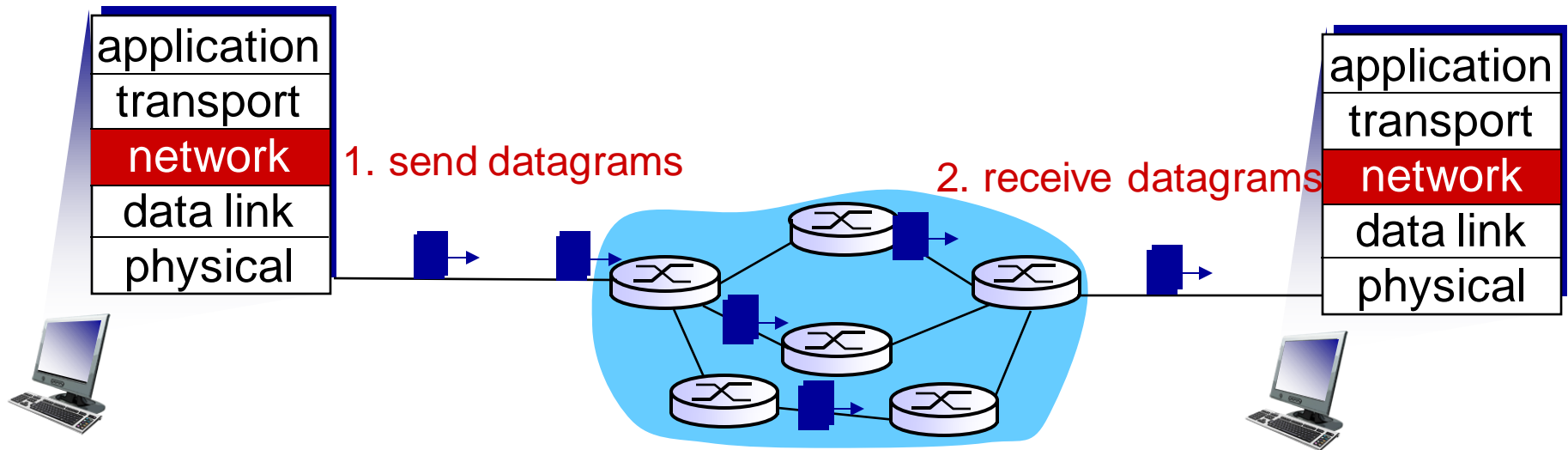
# Virtual circuits: signaling protocols

- ❖ used to setup, maintain teardown VC
- ❖ used in ATM, frame-relay, X.25
- ❖ not used in today's Internet

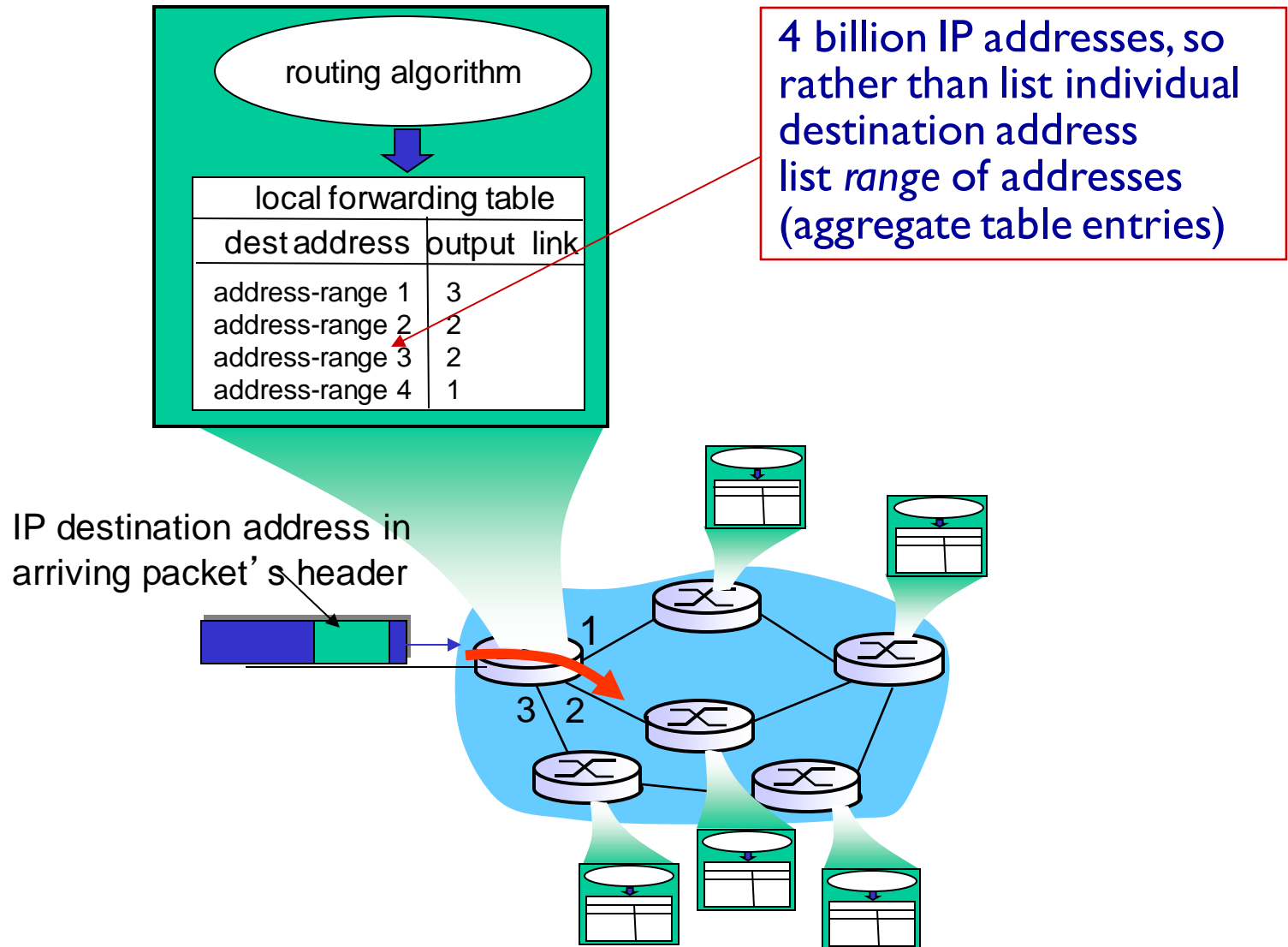


# Datagram networks

- ❖ no call setup at network layer
- ❖ routers: no state about end-to-end connections
  - no network-level concept of “connection”
- ❖ packets forwarded using destination host address



# Datagram forwarding table



# Datagram forwarding table

Destination Address Range	Link Interface
11001000 00010111 00010000 00000000 through 11001000 00010111 00010111 11111111	0
11001000 00010111 00011000 00000000 through 11001000 00010111 00011000 11111111	1
11001000 00010111 00011001 00000000 through 11001000 00010111 00011111 11111111	2
otherwise	3

**Q:** but what happens if ranges don't divide up so nicely?



# Longest prefix matching

## *longest prefix matching*

when looking for forwarding table entry for given destination address, use *longest* address prefix that matches destination address.

Destination Address Range	Link interface
11001000 00010111 00010*** *****	0
11001000 00010111 00011000 *****	1
11001000 00010111 00011*** *****	2
otherwise	3

examples:

DA: 11001000 00010111 00010110 10100001

which interface?

DA: 11001000 00010111 00011000 10101010

which interface?

# Datagram or VC network: why?

## *Internet (datagram)*

- ❖ data exchange among computers
  - “elastic” service, no strict timing req.
- ❖ many link types
  - different characteristics
  - uniform service difficult
- ❖ “smart” end systems (computers)
  - can adapt, perform control, error recovery
  - ***simple inside network, complexity at “edge”***

## *ATM (VC)*

- ❖ evolved from telephony
- ❖ human conversation:
  - strict timing, reliability requirements
  - need for guaranteed service
- ❖ “dumb” end systems
  - telephones
  - ***complexity inside network***

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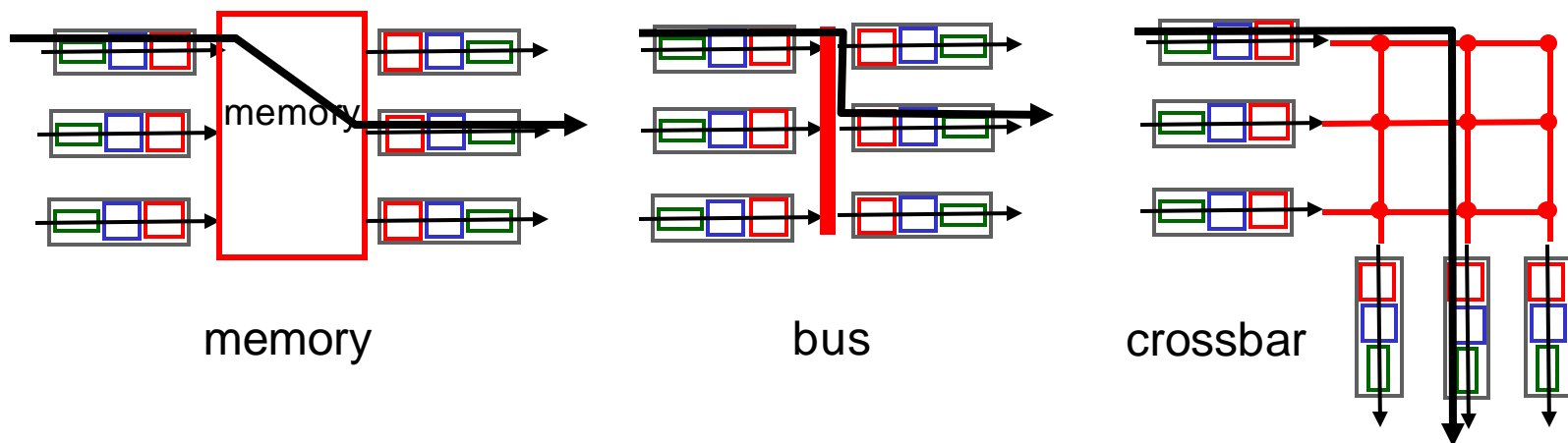
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# Switching fabrics

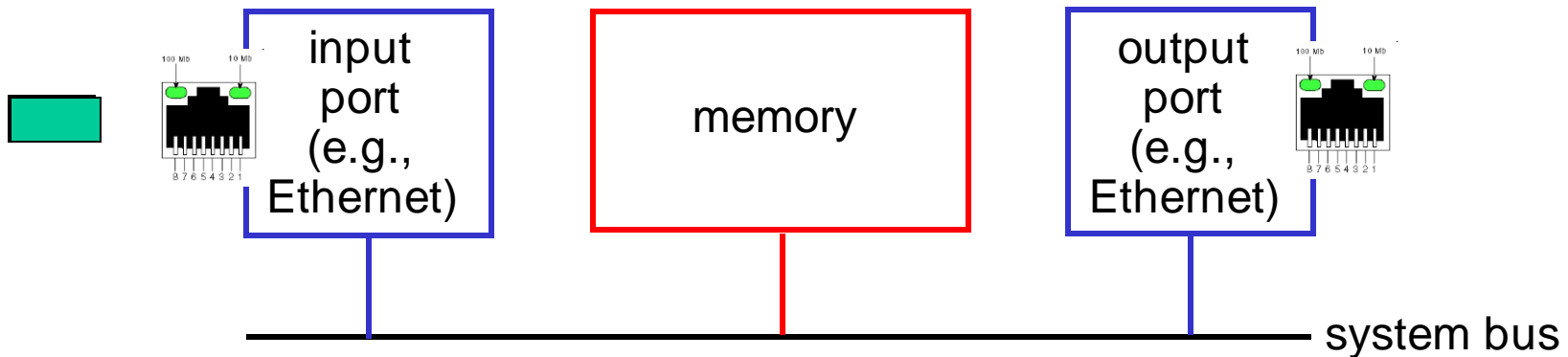
- ❖ transfer packet from input buffer to appropriate output buffer
- ❖ switching rate: rate at which packets can be transfer from inputs to outputs
  - often measured as multiple of input/output line rate
  - N inputs: switching rate N times line rate desirable
- ❖ three types of switching fabrics



# Switching via memory

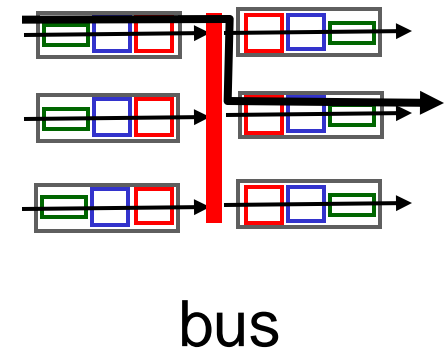
## *first generation routers:*

- ❖ traditional computers with switching under direct control of CPU
- ❖ packet copied to system's memory
- ❖ speed limited by memory bandwidth (2 bus crossings per datagram)



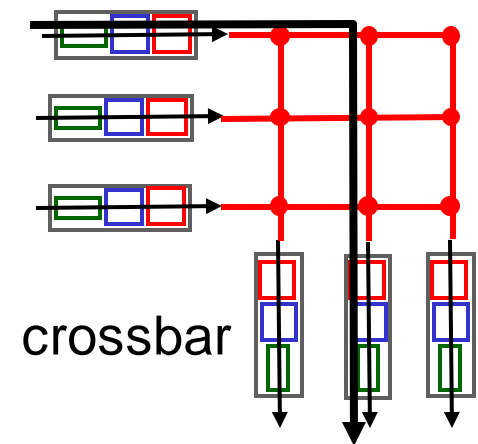
# Switching via a bus

- ❖ datagram from input port memory to output port memory via a shared bus
- ❖ *bus contention*: switching speed limited by bus bandwidth
- ❖ 32 Gbps bus, Cisco 5600: sufficient speed for access and enterprise routers



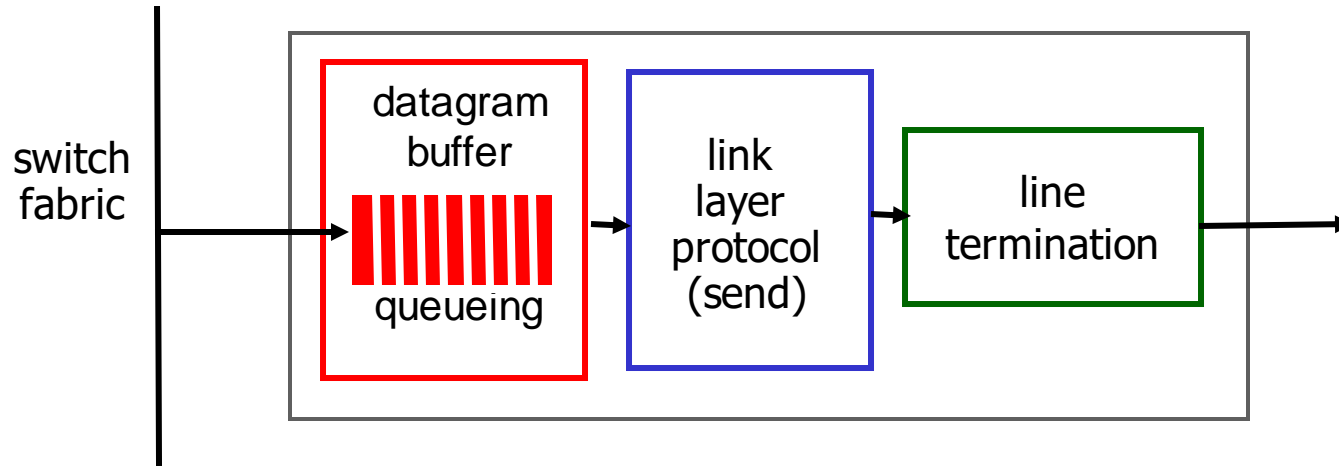
# Switching via interconnection network

- ❖ overcome bus bandwidth limitations
- ❖ banyan networks, crossbar, other interconnection nets initially developed to connect processors in multiprocessor
- ❖ advanced design: fragmenting datagram into fixed length cells, switch cells through the fabric.
- ❖ Cisco I2000: switches 60 Gbps through the interconnection network



# Output ports

*This slide is HUGELY important!*



- ❖ *buffering* required from fabric faster rate

Datagram (packets) can be lost due to congestion, lack of buffers

- ❖ *scheduling* datagrams

Priority scheduling – who gets best performance, network neutrality



# How much buffering?

- ❖ RFC 3439 rule of thumb: average buffering equal to “typical” RTT (say 250 msec) times link capacity  $C$ 
  - e.g.,  $C = 10$  Gpbs link: 2.5 Gbit buffer
- ❖ recent recommendation: with  $N$  flows, buffering equal to

$$\frac{RTT \cdot C}{\sqrt{N}}$$

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- hierarchical routing

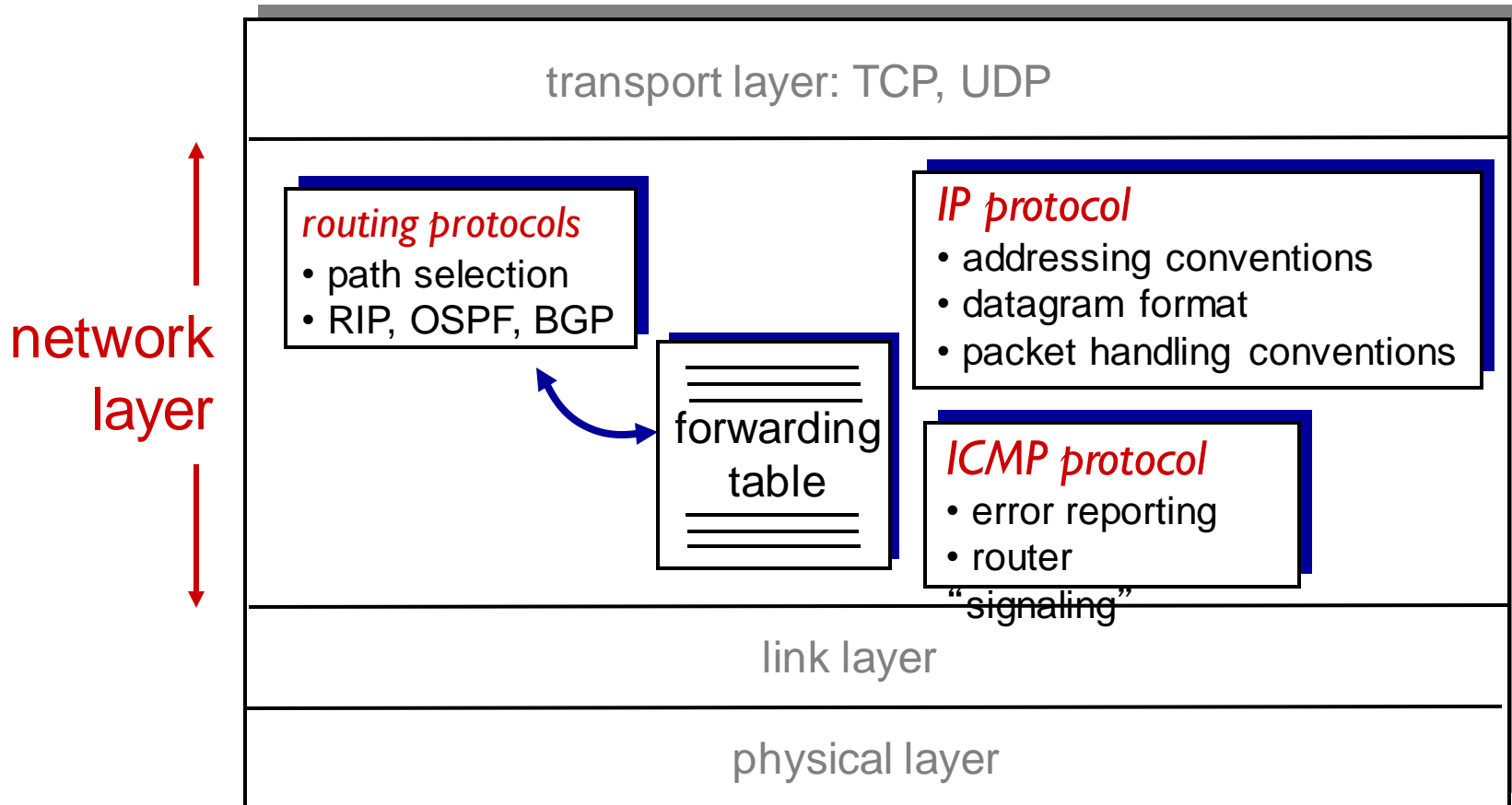
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- BGP

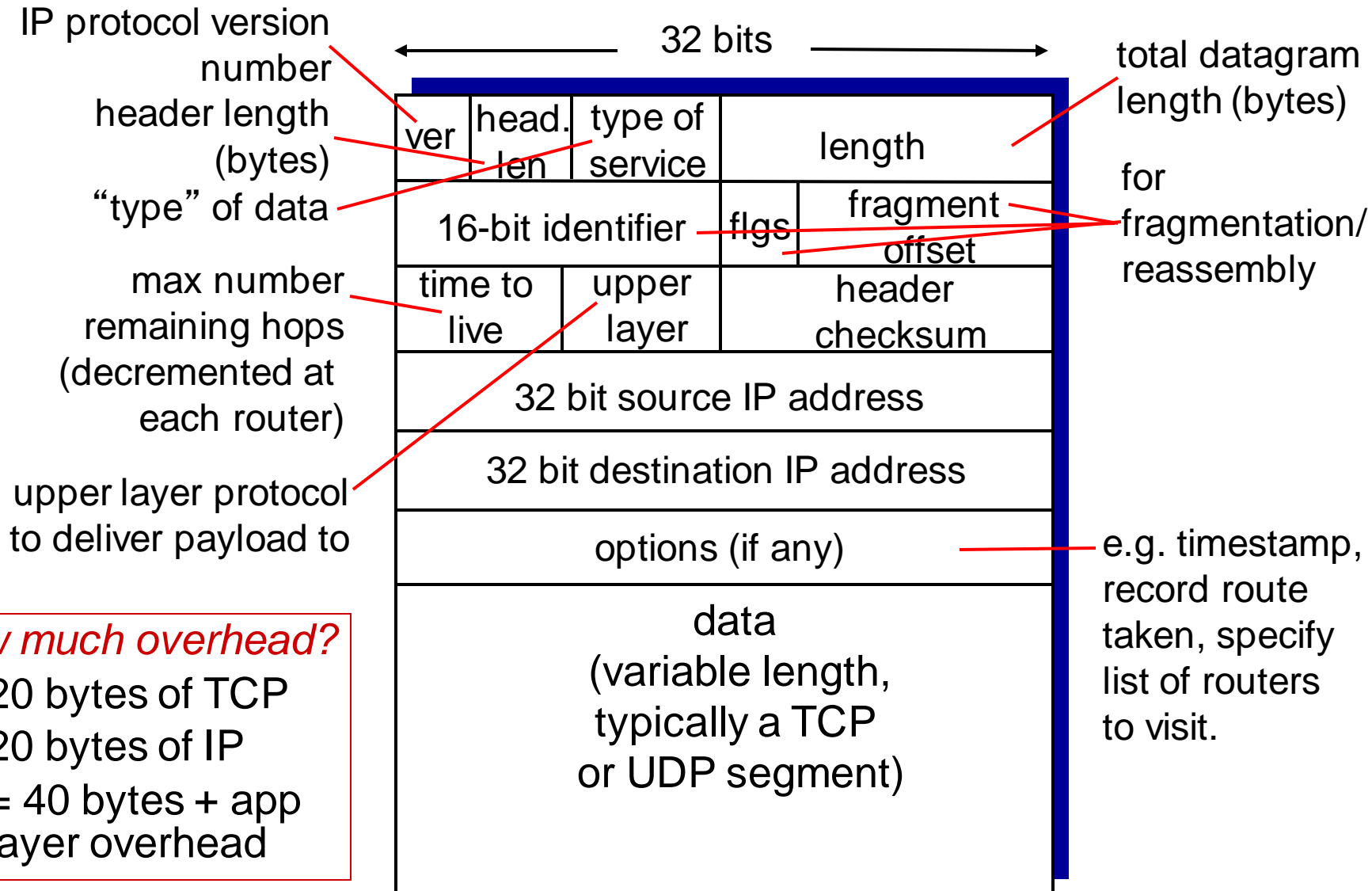
4.7 broadcast and multicast routing

# The Internet network layer

host, router network layer functions:



# IP datagram format

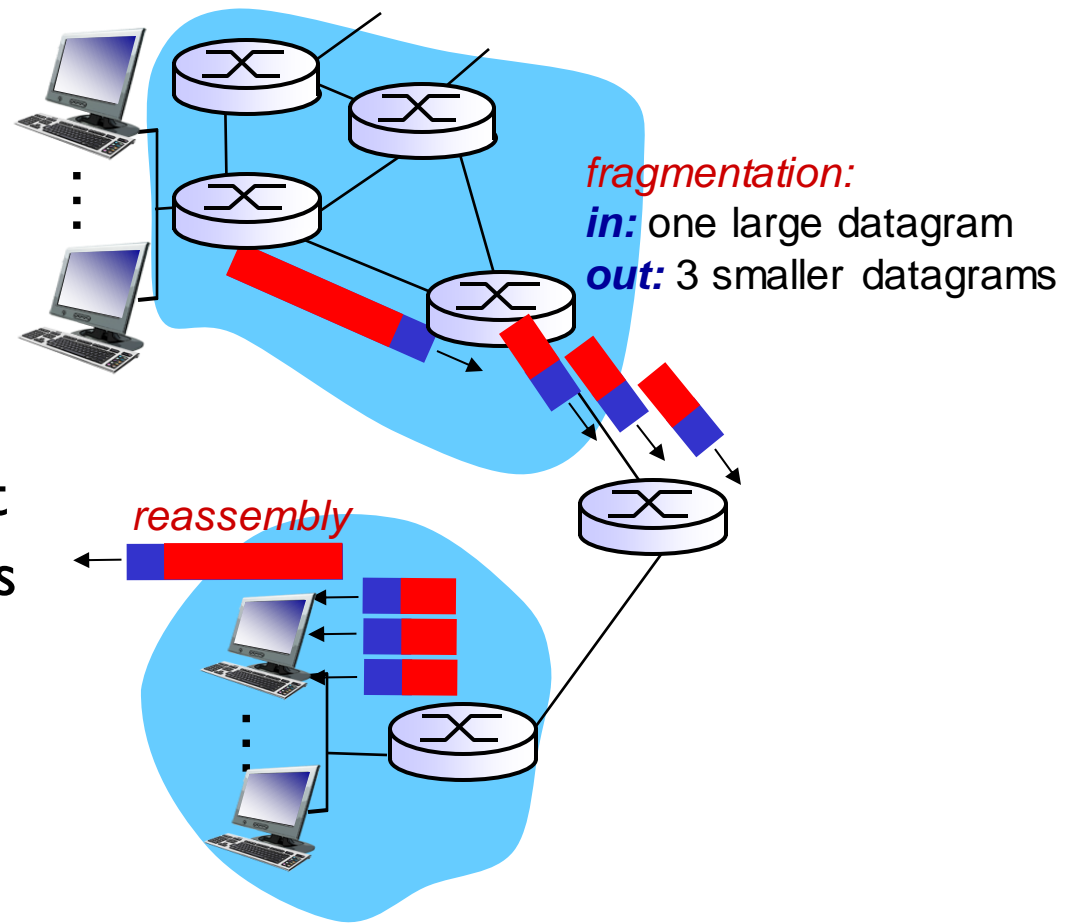


## how much overhead?

- ❖ 20 bytes of TCP
- ❖ 20 bytes of IP
- ❖ = 40 bytes + app layer overhead

# IP fragmentation, reassembly

- ❖ network links have MTU (max.transfer size) - largest possible link-level frame
  - different link types, different MTUs
- ❖ large IP datagram divided (“fragmented”) within net
  - one datagram becomes several datagrams
  - “reassembled” only at final destination
  - IP header bits used to identify, order related fragments



# IP fragmentation, reassembly

## example:

- ❖ 4000 byte datagram
- ❖ MTU = 1500 bytes

	length	ID	fragflag	offset	
	=4000	=x	=0	=0	

*one large datagram becomes several smaller datagrams*

1480 bytes in data field

offset =  
1480/8

	length	ID	fragflag	offset	
	=1500	=x	=1	=0	

	length	ID	fragflag	offset	
	=1500	=x	=1	=185	

	length	ID	fragflag	offset	
	=1040	=x	=0	=370	

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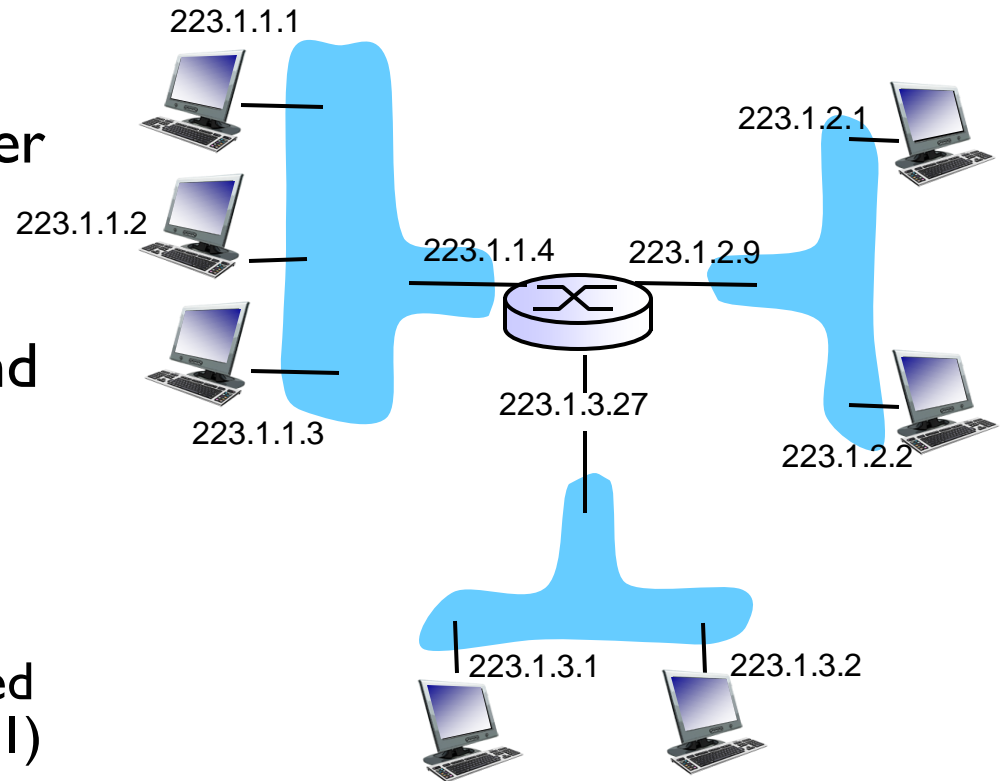
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# IP addressing: introduction

- ❖ **IP address:** 32-bit identifier for host, router interface
- ❖ **interface:** connection between host/router and physical link
  - router's typically have multiple interfaces
  - host typically has one or two interfaces (e.g., wired Ethernet, wireless 802.11)
- ❖ **IP addresses associated with each interface**



$$223.1.1.1 = \underbrace{11011111}_{223} \underbrace{00000001}_{1} \underbrace{00000001}_{1} \underbrace{00000001}_{1}$$



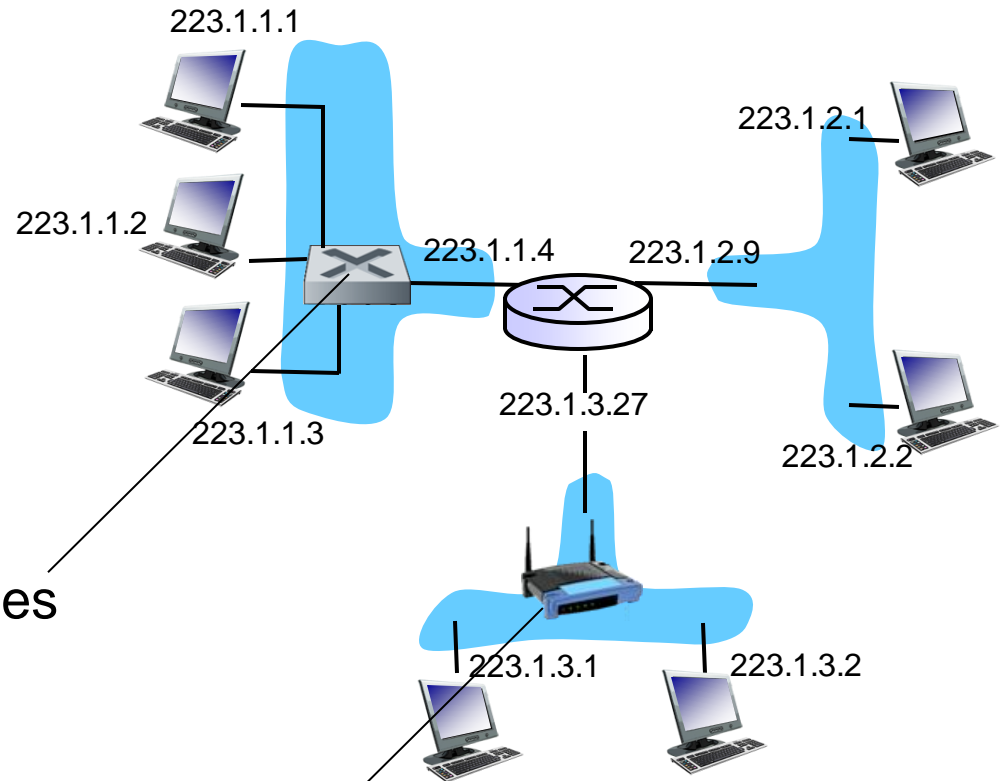
# IP addressing: introduction

**Q:** how are interfaces actually connected?

**A:** we'll learn about that in chapter 5, 6.

**A:** wired Ethernet interfaces connected by Ethernet switches

**For now:** don't need to worry about how one interface is connected to another (with no intervening router)



**A:** wireless WiFi interfaces connected by WiFi base station

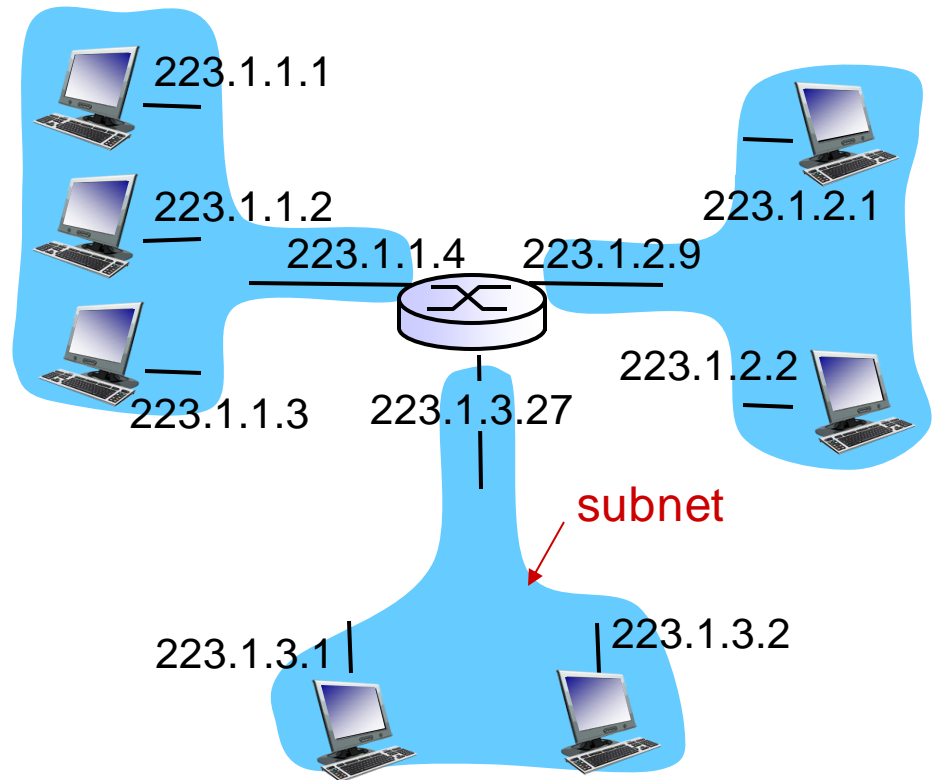
# Subnets

## ❖ IP address:

- subnet part - high order bits
- host part - low order bits

## ❖ *what 's a subnet ?*

- device interfaces with same subnet part of IP address
- can physically reach each other *without intervening router*

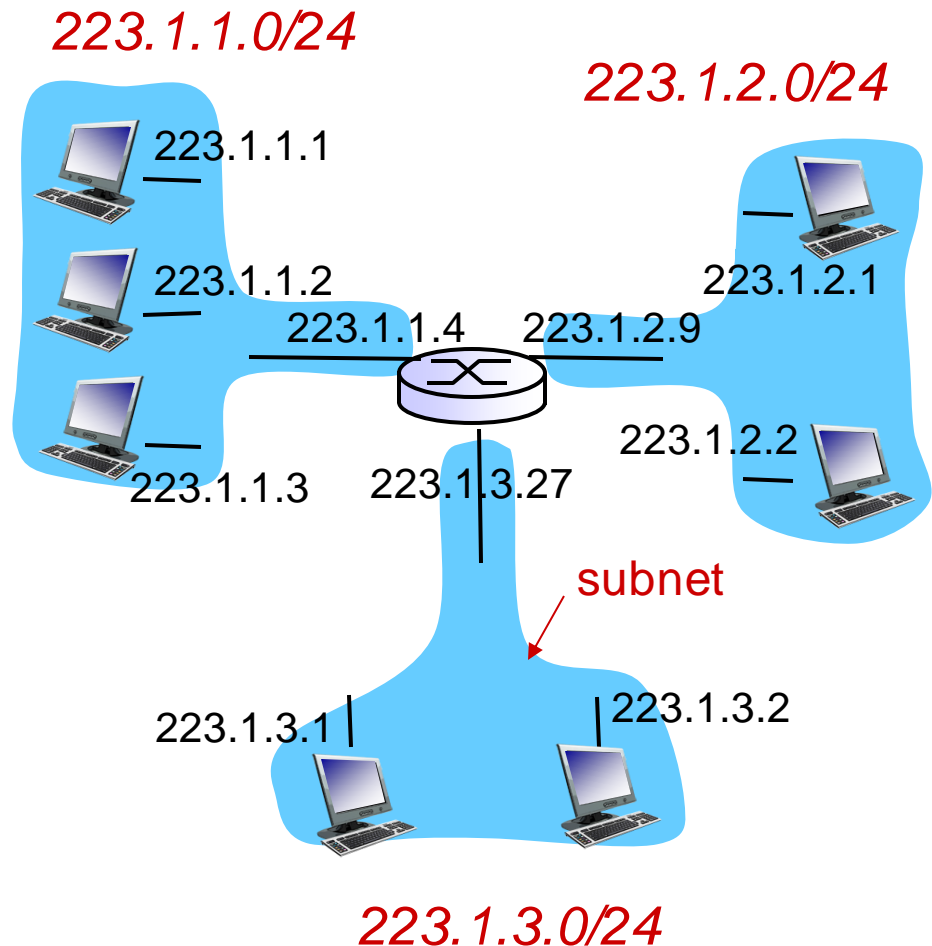


network consisting of 3 subnets

# Subnets

## *recipe*

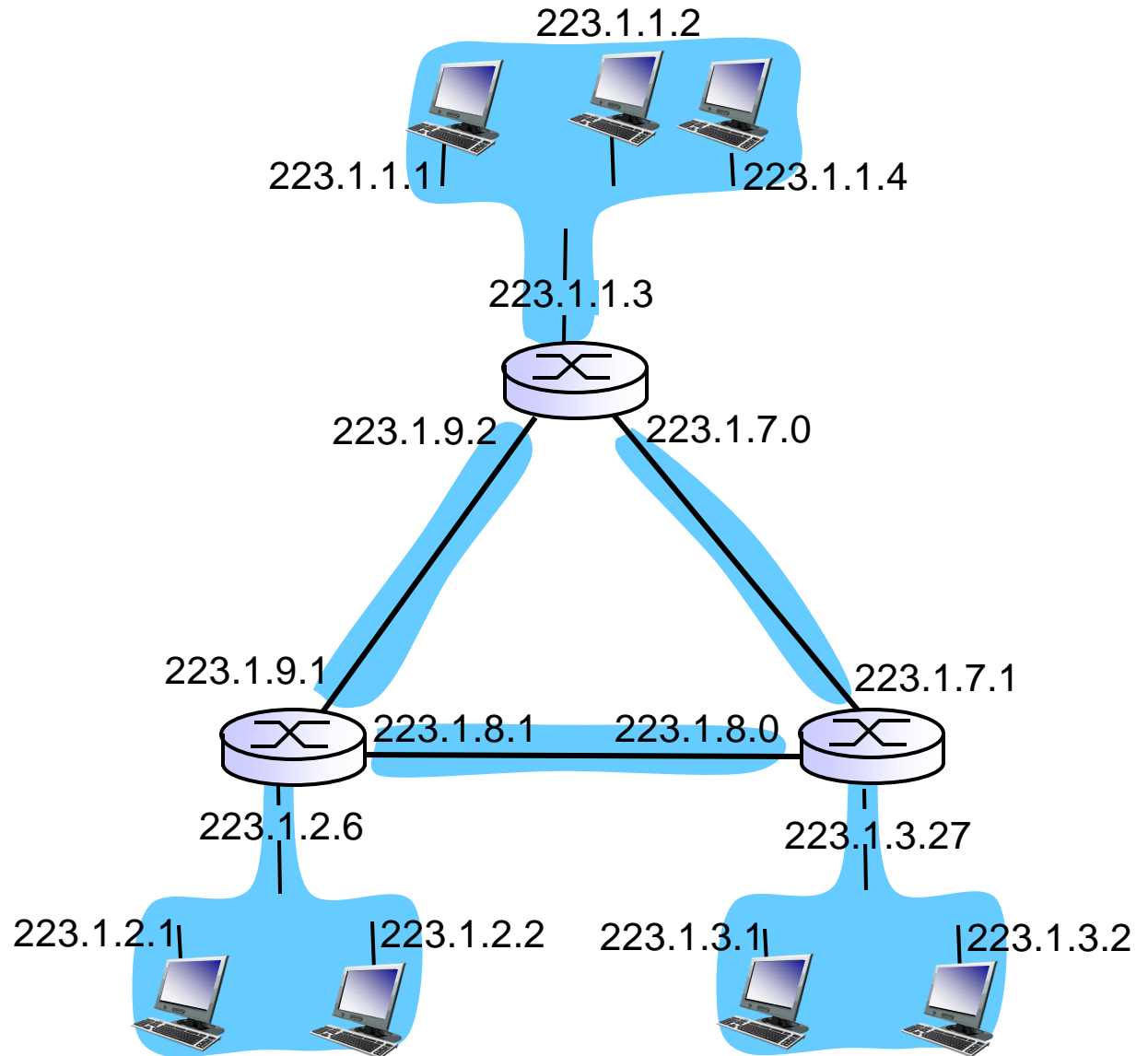
- ❖ to determine the subnets, detach each interface from its host or router, creating islands of isolated networks
- ❖ each isolated network is called a *subnet*



subnet mask: /24

# Subnets

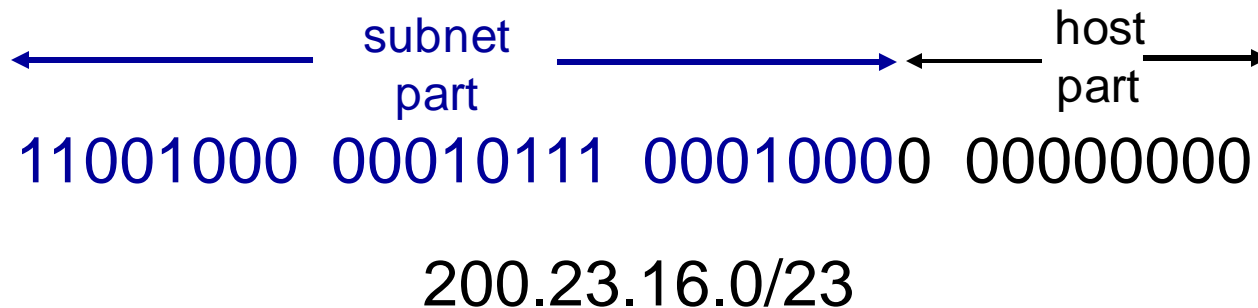
how many?



# IP addressing: CIDR

## CIDR: Classless InterDomain Routing

- subnet portion of address of arbitrary length
- address format: **a.b.c.d/x**, where x is # bits in subnet portion of address

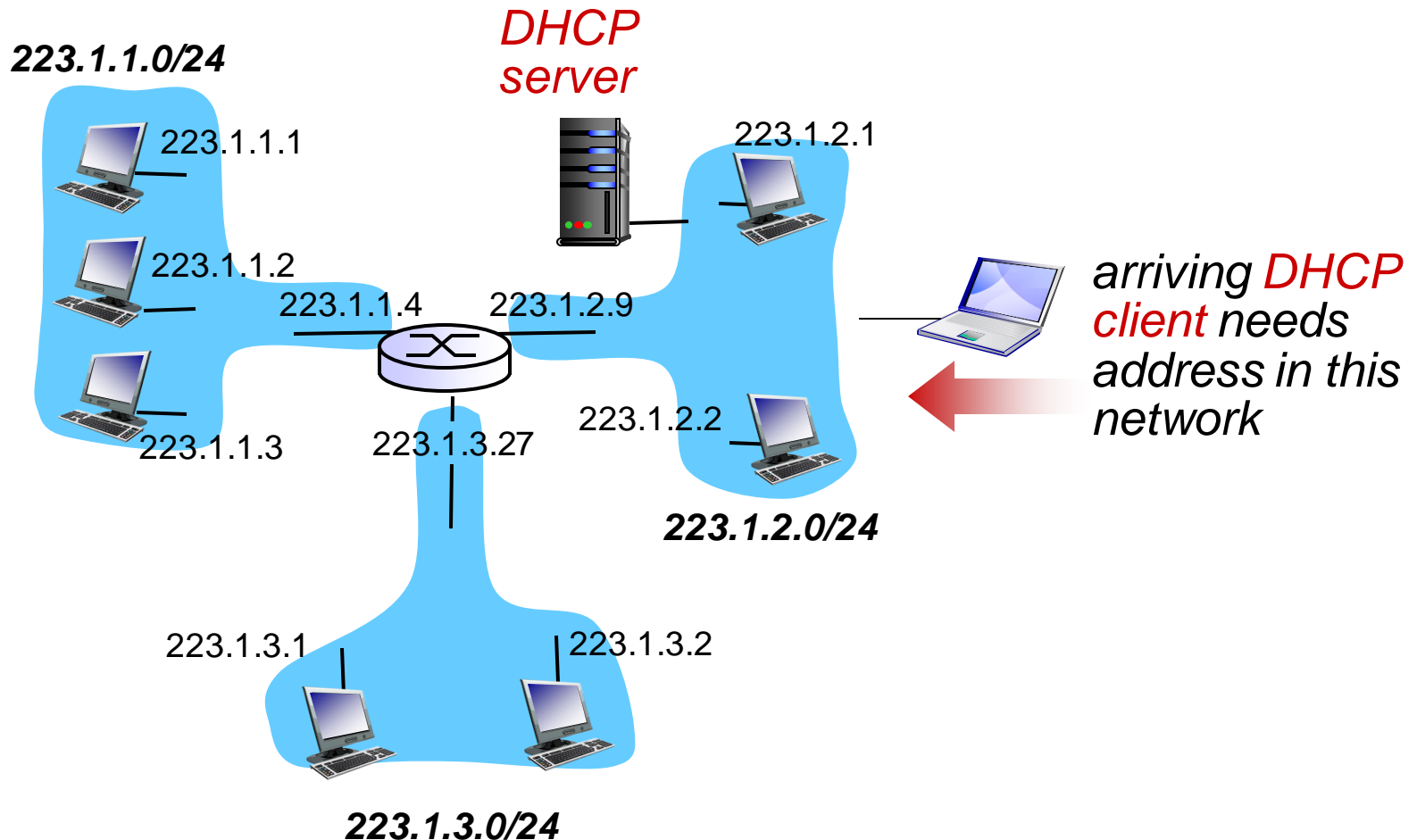


# IP addresses: how to get one?

Q: How does a *host* get IP address?

- ❖ hard-coded by system admin in a file
  - Windows: control-panel->network->configuration->tcp/ip->properties
  - UNIX: /etc/rc.config
- ❖ **DHCP: Dynamic Host Configuration Protocol:** dynamically get address from as server
  - “plug-and-play”

# DHCP client-server scenario

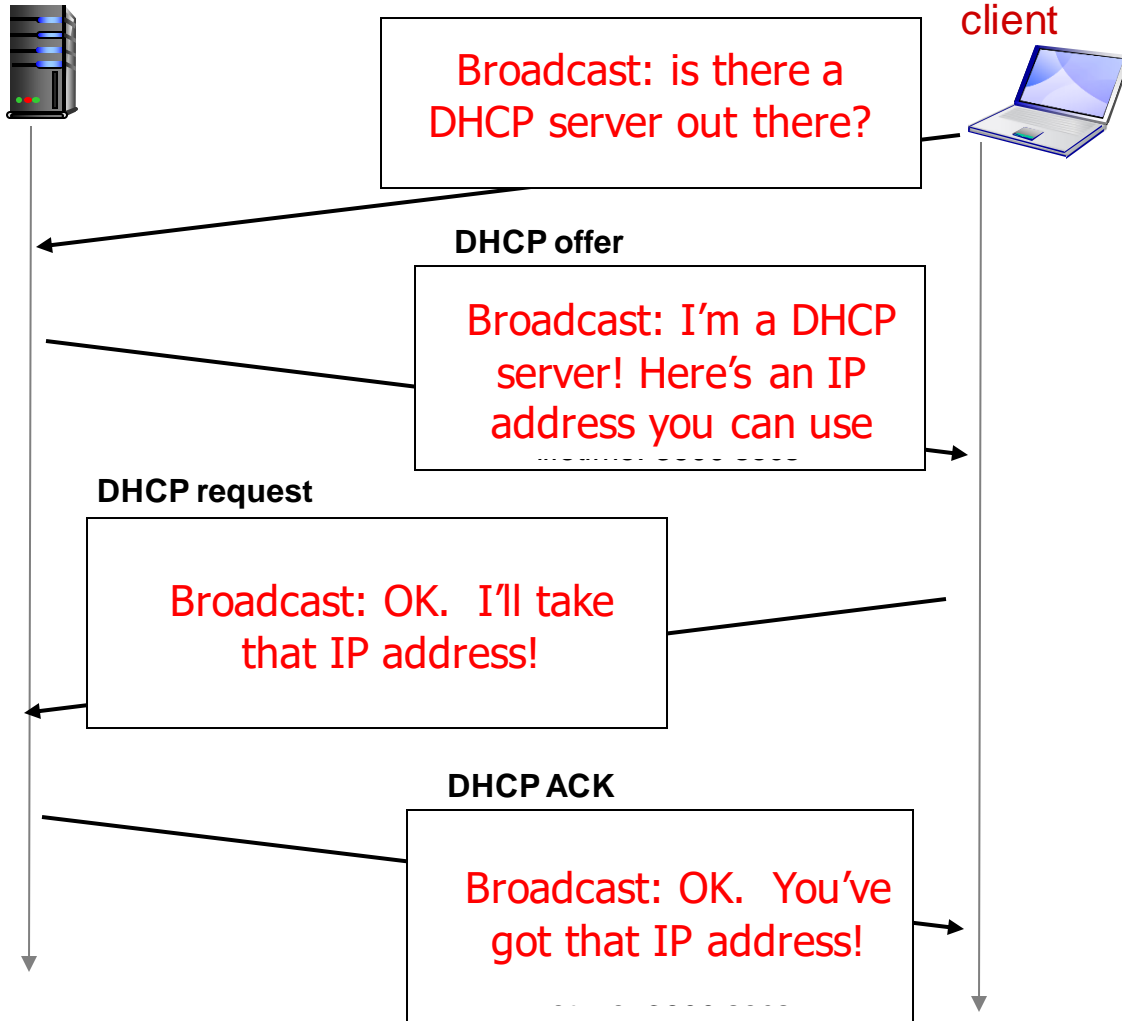


# DHCP client-server scenario

DHCP server: 223.1.2.5

DHCP discover

arriving client





# IP addresses: how to get one?

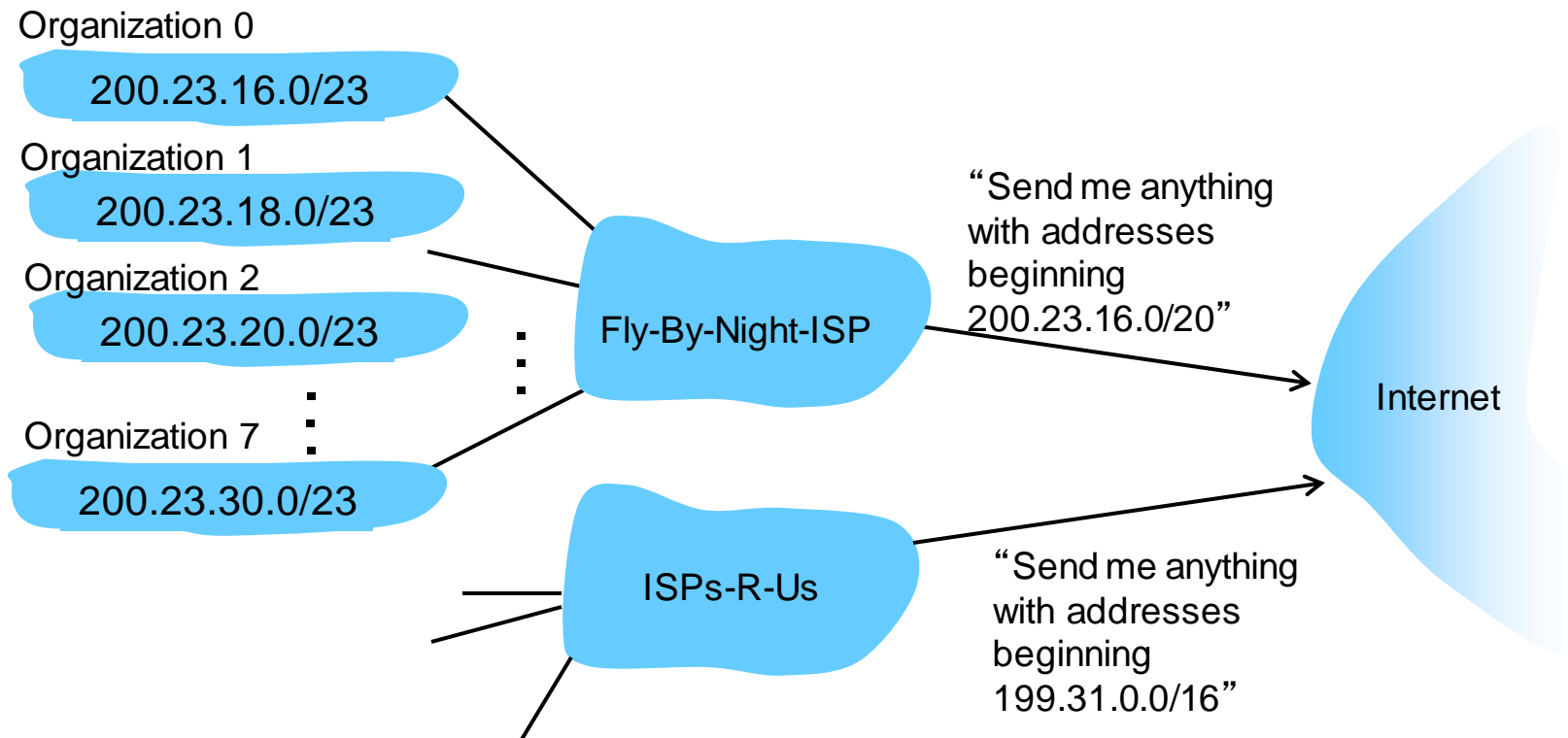
**Q:** how does *network* get subnet part of IP addr?

**A:** gets allocated portion of its provider ISP' s address space

ISP's block	<u>11001000</u>	<u>00010111</u>	<u>00010000</u>	00000000	200.23.16.0/20
Organization 0	<u>11001000</u>	<u>00010111</u>	<u>00010000</u>	00000000	200.23.16.0/23
Organization 1	<u>11001000</u>	<u>00010111</u>	<u>00010010</u>	00000000	200.23.18.0/23
Organization 2	<u>11001000</u>	<u>00010111</u>	<u>00010100</u>	00000000	200.23.20.0/23
...	.....			....	....
Organization 7	<u>11001000</u>	<u>00010111</u>	<u>00011110</u>	00000000	200.23.30.0/23

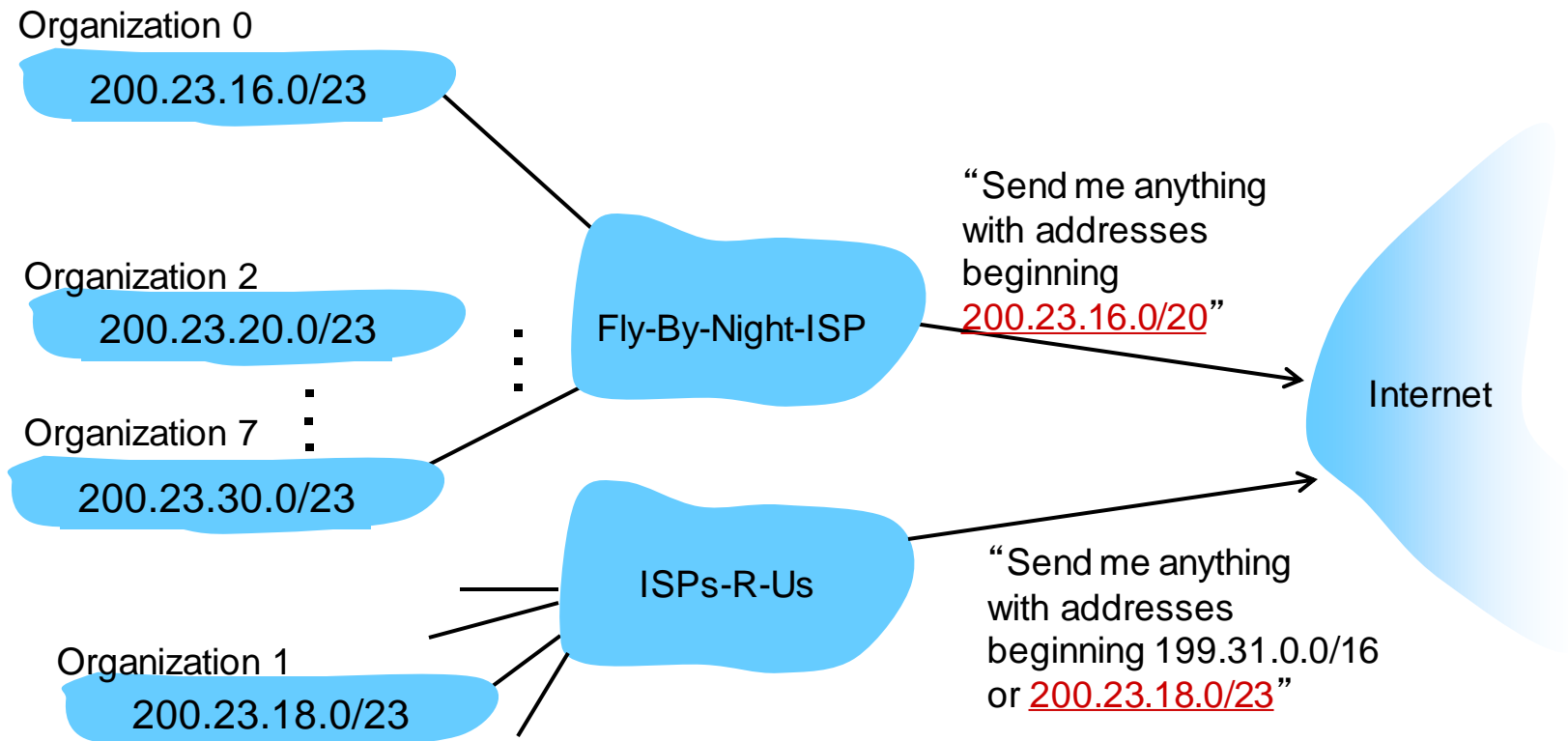
# Hierarchical addressing: route aggregation

hierarchical addressing allows efficient advertisement of routing information:



# Hierarchical addressing: more specific routes

ISPs-R-U's has a more specific route to Organization 1



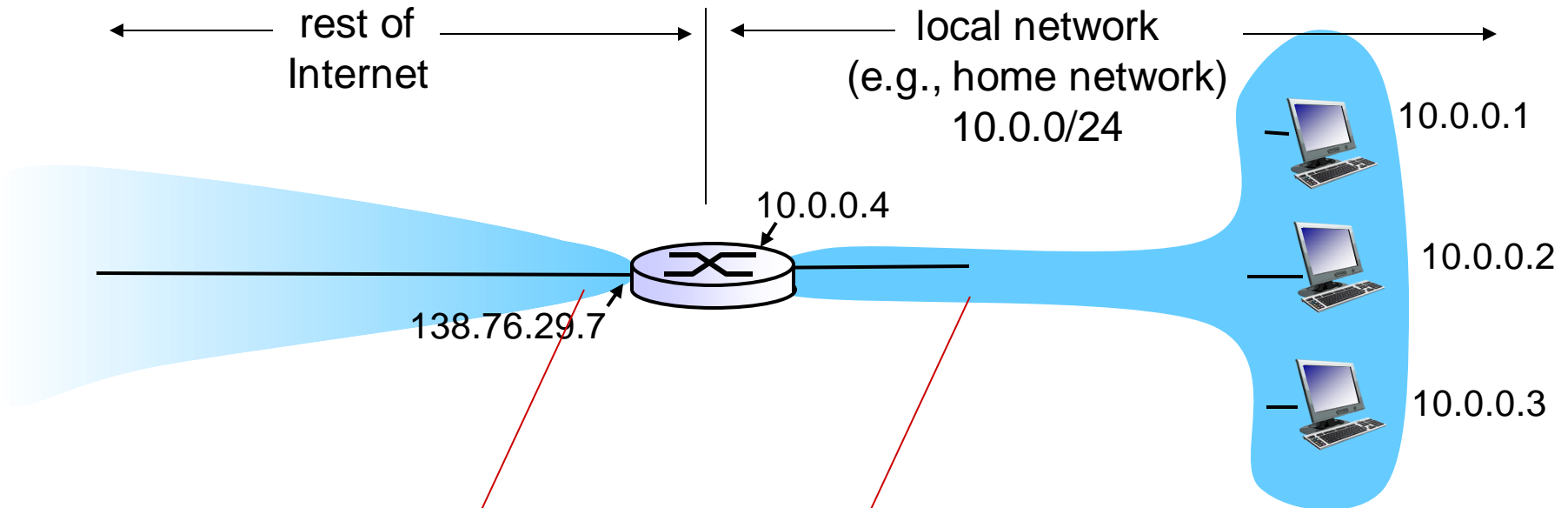
# IP addressing: the last word...

**Q:** how does an ISP get block of addresses?

**A: ICANN:** Internet Corporation for Assigned Names and Numbers <http://www.icann.org/>

- allocates addresses
- manages DNS
- assigns domain names, resolves disputes

# NAT: network address translation



*all* datagrams *leaving* local network have *same* single source NAT IP address: 138.76.29.7, different source port numbers

datagrams with source or destination in this network have 10.0.0/24 address for source, destination (as usual)

# NAT: network address translation

*motivation:* local network uses just one IP address as far as outside world is concerned:

- range of addresses not needed from ISP: just one IP address for all devices
- can change addresses of devices in local network without notifying outside world
- can change ISP without changing addresses of devices in local network
- devices inside local net not explicitly addressable, visible by outside world (a security plus)

# NAT: network address translation

*implementation:* NAT router must:

- *outgoing datagrams: replace* (source IP address, port #) of every outgoing datagram to (NAT IP address, new port #)  
... remote clients/servers will respond using (NAT IP address, new port #) as destination addr
- *remember (in NAT translation table)* every (source IP address, port #) to (NAT IP address, new port #) translation pair
- *incoming datagrams: replace* (NAT IP address, new port #) in dest fields of every incoming datagram with corresponding (source IP address, port #) stored in NAT table

# NAT: network address translation

NAT translation table	
WAN side addr	LAN side addr
138.76.29.7, 5001	10.0.0.1, 3345
.....	.....

**1:** host 10.0.0.1 sends datagram to 128.119.40.186, 80

S: 10.0.0.1, 3345  
D: 128.119.40.186, 80

1

10.0.0.1

10.0.0.2

10.0.0.3

**2:** NAT router changes datagram source addr from 10.0.0.1, 3345 to 138.76.29.7, 5001, updates table

S: 138.76.29.7, 5001  
D: 128.119.40.186, 80

2

138.76.29.7

S: 128.119.40.186, 80  
D: 138.76.29.7, 5001

3

**3:** reply arrives  
dest. address:  
138.76.29.7, 5001

10.0.0.4

S: 128.119.40.186, 80  
D: 10.0.0.1, 3345

4

**4:** NAT router changes datagram dest addr from 138.76.29.7, 5001 to 10.0.0.1, 3345



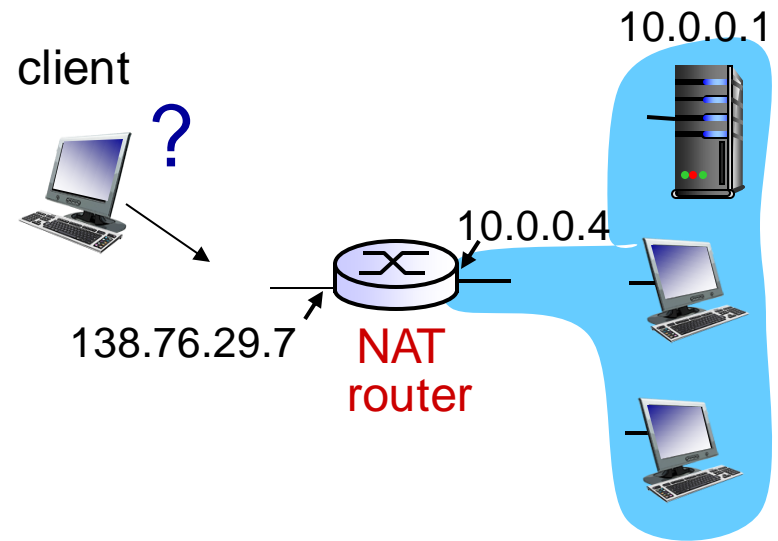


# NAT: network address translation

- ❖ 16-bit port-number field:
  - 60,000 simultaneous connections with a single LAN-side address!
- ❖ NAT is controversial:
  - routers should only process up to layer 3
  - violates end-to-end argument
    - NAT possibility must be taken into account by app designers, e.g., P2P applications
  - address shortage should instead be solved by IPv6

# NAT traversal problem

- ❖ client wants to connect to server with address 10.0.0.1
  - server address 10.0.0.1 local to LAN (client can't use it as destination addr)
  - only one externally visible NATed address: 138.76.29.7
- ❖ *solution 1*: statically configure NAT to forward incoming connection requests at given port to server
  - e.g., (138.76.29.7, port 2500) always forwarded to 10.0.0.1 port 25000

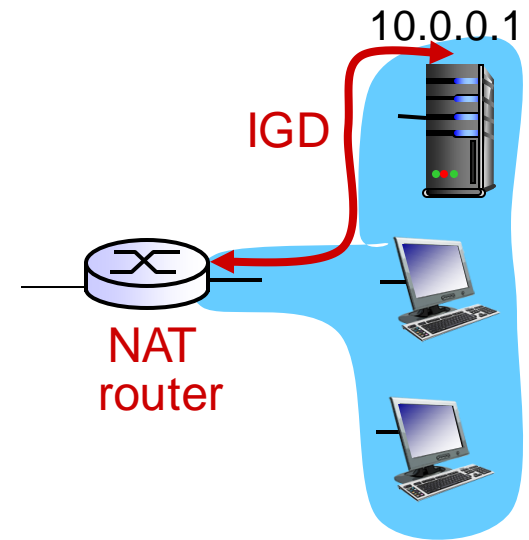


# NAT traversal problem

❖ **solution 2:** Universal Plug and Play (UPnP) Internet Gateway Device (IGD) Protocol. Allows NATed host to:

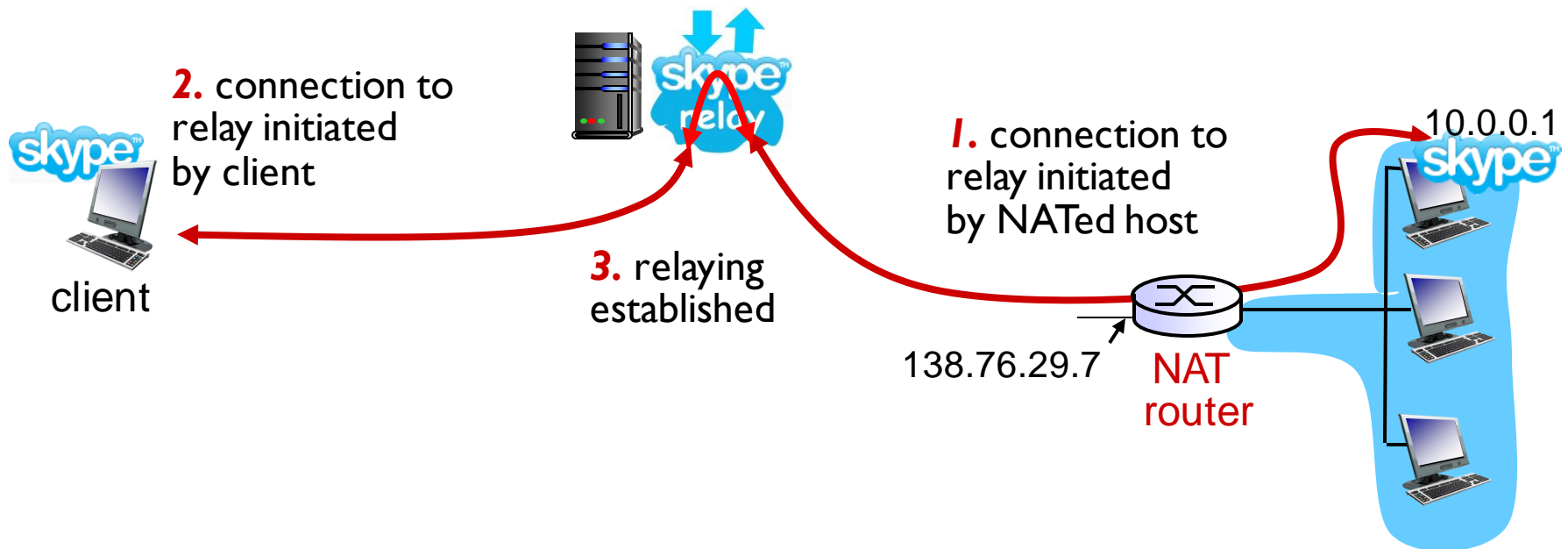
- ❖ learn public IP address (138.76.29.7)
- ❖ add/remove port mappings (with lease times)

i.e., automate static NAT port map configuration



# NAT traversal problem

- ❖ **solution 3:** relaying (used in Skype)
  - NATed client establishes connection to relay
  - external client connects to relay
  - relay bridges packets between to connections



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# ICMP: internet control message protocol

- ❖ used by hosts & routers to communicate network-level information

- error reporting: unreachable host, network, port, protocol
- echo request/reply (used by ping)

- ❖ network-layer “above” IP:

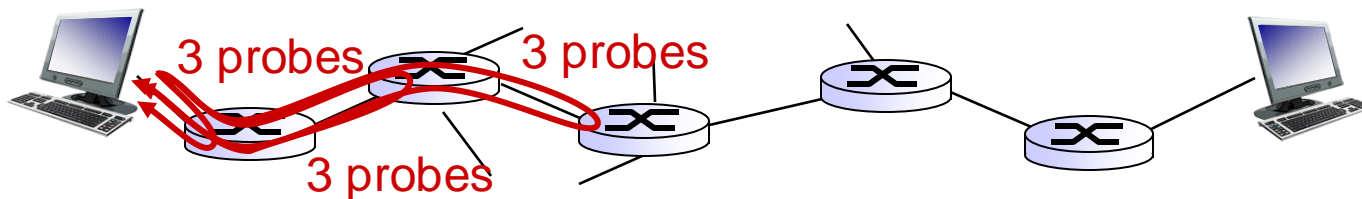
- ICMP msgs carried in IP datagrams

- ❖ **ICMP message:** type, code plus first 8 bytes of IP datagram causing error

<u>Type</u>	<u>Code</u>	<u>description</u>
0	0	echo reply (ping)
3	0	dest. network unreachable
3	1	dest host unreachable
3	2	dest protocol unreachable
3	3	dest port unreachable
3	6	dest network unknown
3	7	dest host unknown
4	0	source quench (congestion control - not used)
8	0	echo request (ping)
9	0	route advertisement
10	0	router discovery
11	0	TTL expired
12	0	bad IP header

# Traceroute and ICMP

- ❖ source sends series of UDP segments to dest
    - first set has TTL = 1
    - second set has TTL=2, etc.
    - unlikely port number
  - ❖ when  $n$ th set of datagrams arrives to  $n$ th router:
    - router discards datagrams
    - and sends source ICMP messages (type 11, code 0)
    - ICMP messages includes name of router & IP address
  - ❖ when ICMP messages arrives, source records RTTs
- stopping criteria:*
- ❖ UDP segment eventually arrives at destination host
  - ❖ destination returns ICMP “port unreachable” message (type 3, code 3)
  - ❖ source stops



# IPv6: motivation

- ❖ *initial motivation*: 32-bit address space soon to be completely allocated.
- ❖ additional motivation:
  - header format helps speed processing/forwarding
  - header changes to facilitate QoS

## *IPv6 datagram format:*

- fixed-length 40 byte header
- no fragmentation allowed



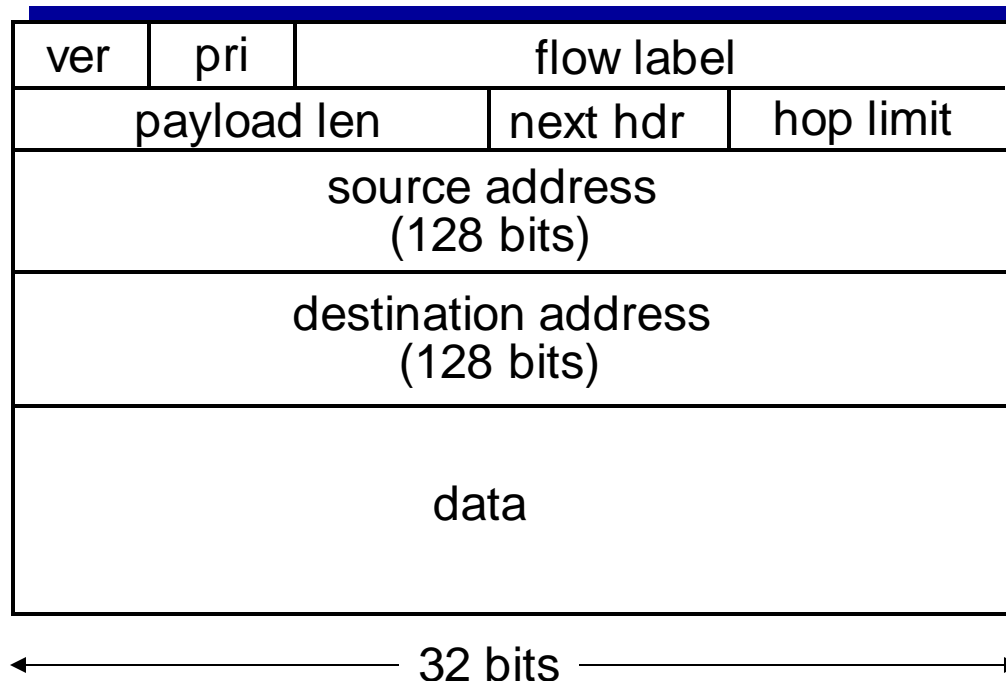
# IPv6 datagram format

*priority*: identify priority among datagrams in flow

*flow Label*: identify datagrams in same “flow.”

(concept of “flow” not well defined).

*next header*: identify upper layer protocol for data

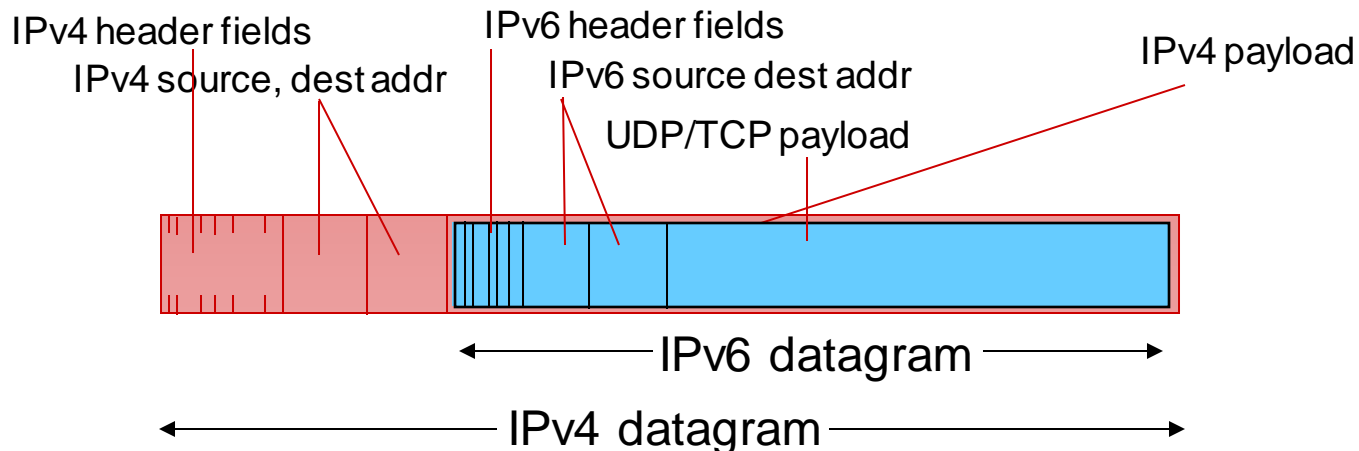


# Other changes from IPv4

- ❖ *checksum*: removed entirely to reduce processing time at each hop
- ❖ *options*: allowed, but outside of header, indicated by “Next Header” field
- ❖ *ICMPv6*: new version of ICMP
  - additional message types, e.g. “Packet Too Big”
  - multicast group management functions

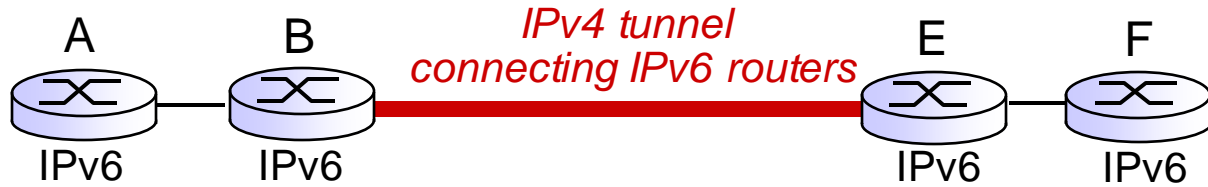
# Transition from IPv4 to IPv6

- ❖ not all routers can be upgraded simultaneously
  - no “flag days”
  - how will network operate with mixed IPv4 and IPv6 routers?
- ❖ *tunneling*: IPv6 datagram carried as *payload* in IPv4 datagram among IPv4 routers

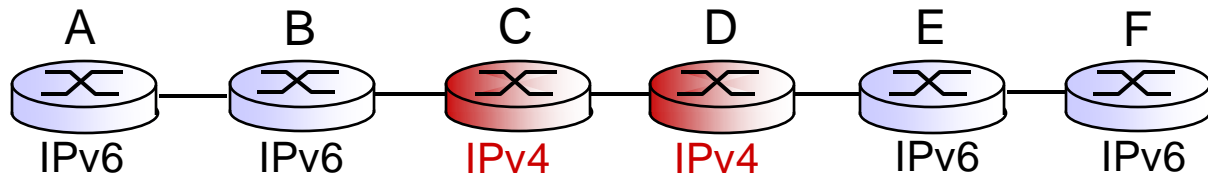


# Tunneling

logical view:

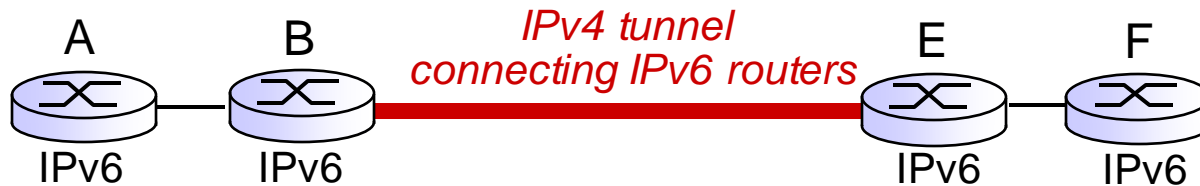


physical view:

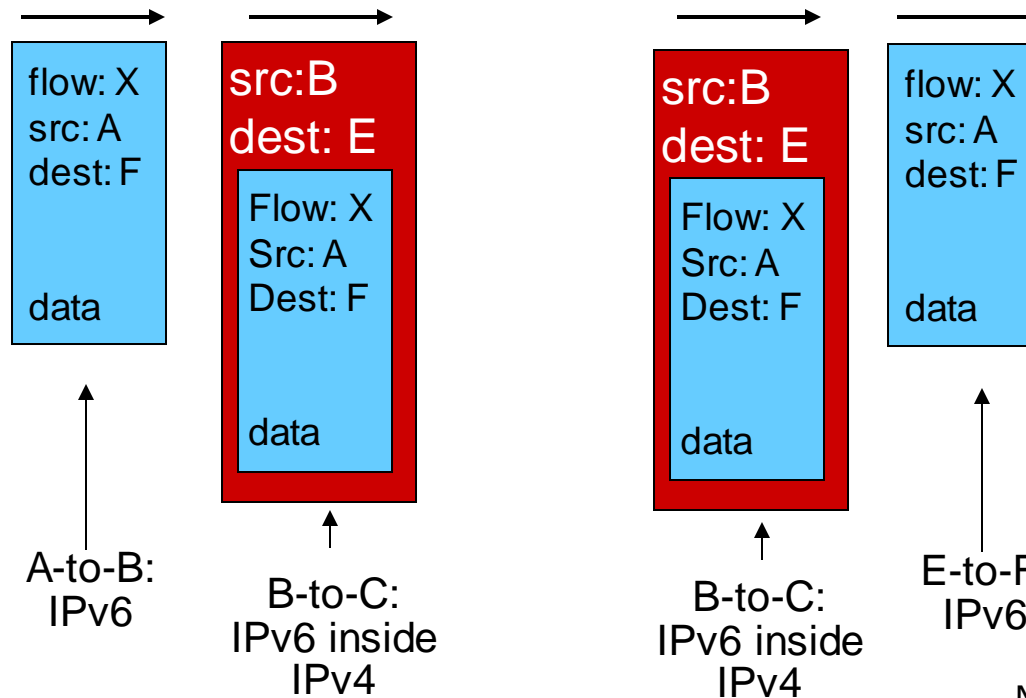
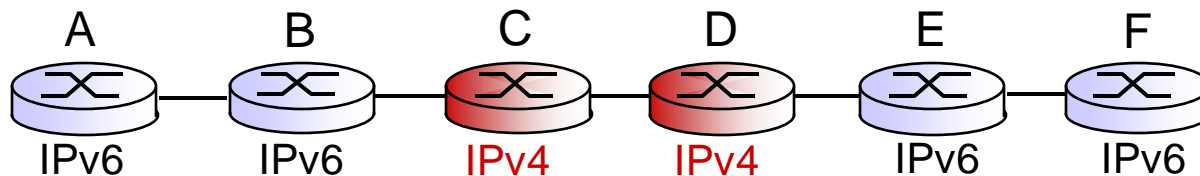


# Tunneling

logical view:



physical view:



# IPv6: adoption

- ❖ US National Institutes of Standards estimate [2013]:
  - ~3% of industry IP routers
  - ~11% of US gov't routers
- ❖ *Long (long!) time for deployment, use*
  - 20 years and counting!
  - think of application-level changes in last 20 years: WWW, Facebook, ...
  - *Why?*

# Chapter 4: outline

4.1 introduction

4.2 virtual circuit and datagram networks

4.3 what's inside a router

4.4 IP: Internet Protocol

- datagram format
- IPv4 addressing
- ICMP
- IPv6

4.5 routing algorithms

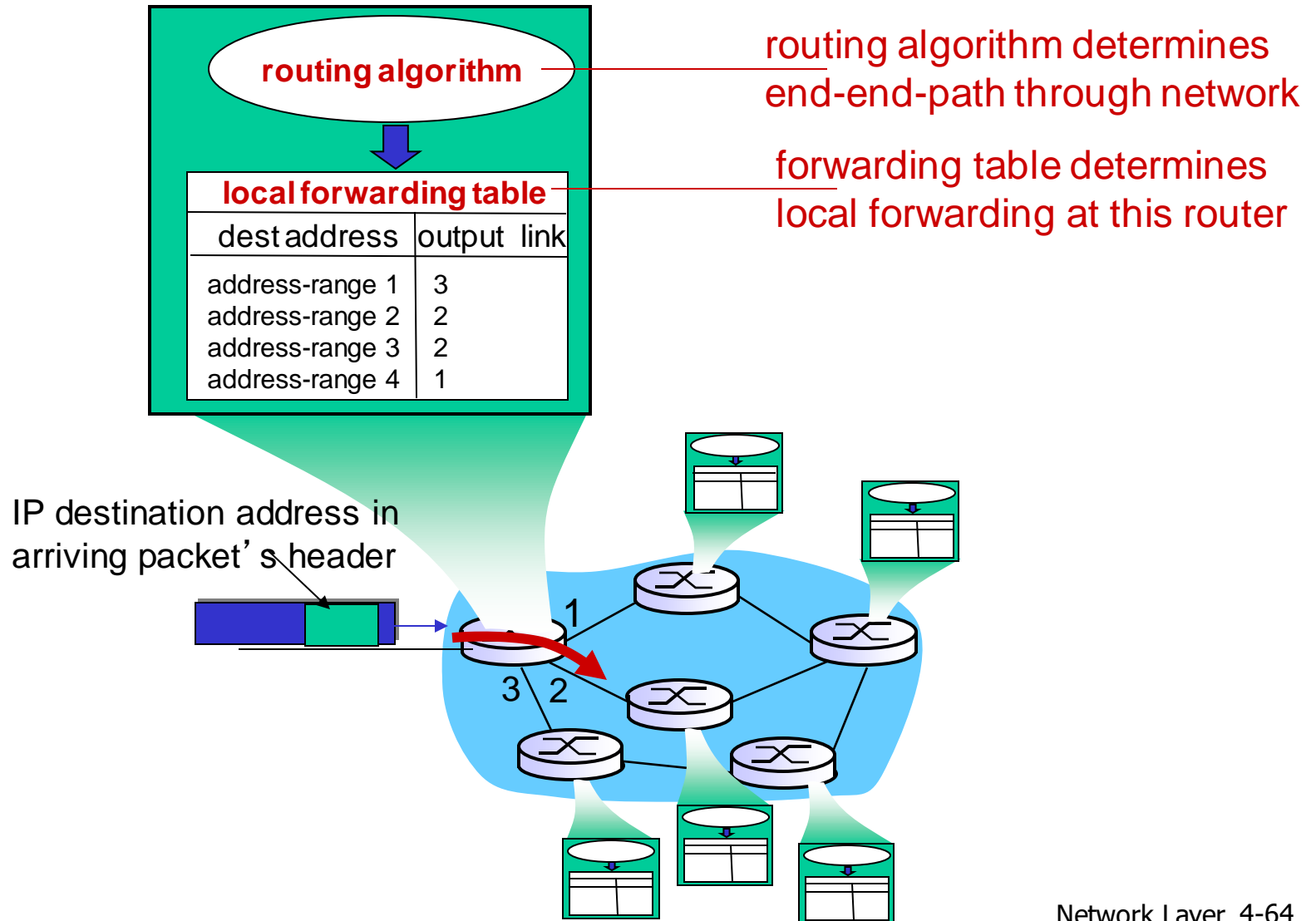
- link state
- distance vector
- hierarchical routing

4.6 routing in the Internet

- RIP
- OSPF
- BGP

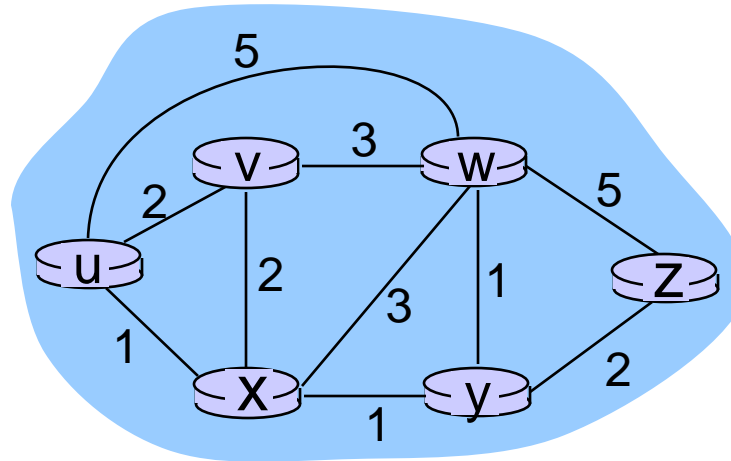
4.7 broadcast and multicast routing

# Interplay between routing, forwarding





# Graph abstraction



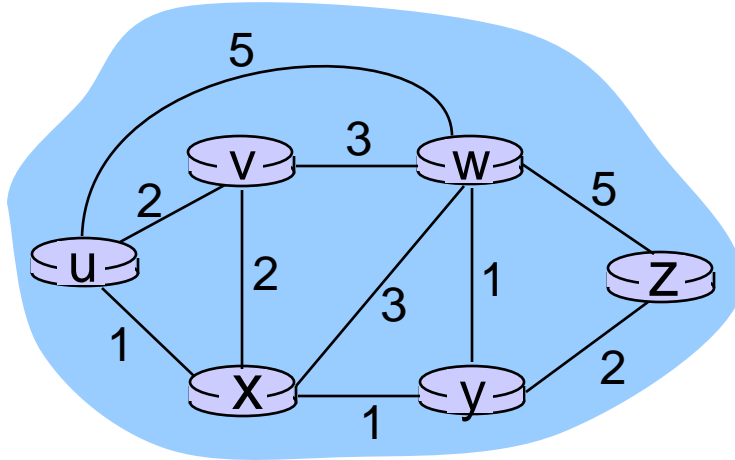
graph:  $G = (N,E)$

$N = \text{set of routers} = \{ u, v, w, x, y, z \}$

$E = \text{set of links} = \{ (u,v), (u,x), (v,x), (v,w), (x,w), (x,y), (w,y), (w,z), (y,z) \}$

*aside:* graph abstraction is useful in other network contexts, e.g., P2P, where  $N$  is set of peers and  $E$  is set of TCP connections

# Graph abstraction: costs



$c(x, x')$  = cost of link  $(x, x')$   
e.g.,  $c(w, z) = 5$

cost could always be 1, or  
inversely related to bandwidth,  
or inversely related to  
congestion

cost of path  $(x_1, x_2, x_3, \dots, x_p) = c(x_1, x_2) + c(x_2, x_3) + \dots + c(x_{p-1}, x_p)$

**key question:** what is the least-cost path between u and z ?  
**routing algorithm:** algorithm that finds that least cost path

# Routing algorithm classification

*Q: global or decentralized information?*

*global:*

- ❖ all routers have complete topology, link cost info
- ❖ “link state” algorithms

*decentralized:*

- ❖ router knows physically-connected neighbors, link costs to neighbors
- ❖ iterative process of computation, exchange of info with neighbors
- ❖ “distance vector” algorithms

*Q: static or dynamic?*

*static:*

- ❖ routes change slowly over time

*dynamic:*

- ❖ routes change more quickly
  - periodic update
  - in response to link cost changes

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# Hierarchical routing

our routing study thus far - idealization

- ❖ all routers identical

- ❖ network “flat”

... *not* true in practice

*scale:* with 600 million destinations:

- ❖ can't store all dest's in routing tables!

- ❖ routing table exchange would swamp links!

*administrative autonomy*

- ❖ internet = network of networks

- ❖ each network admin may want to control routing in its own network

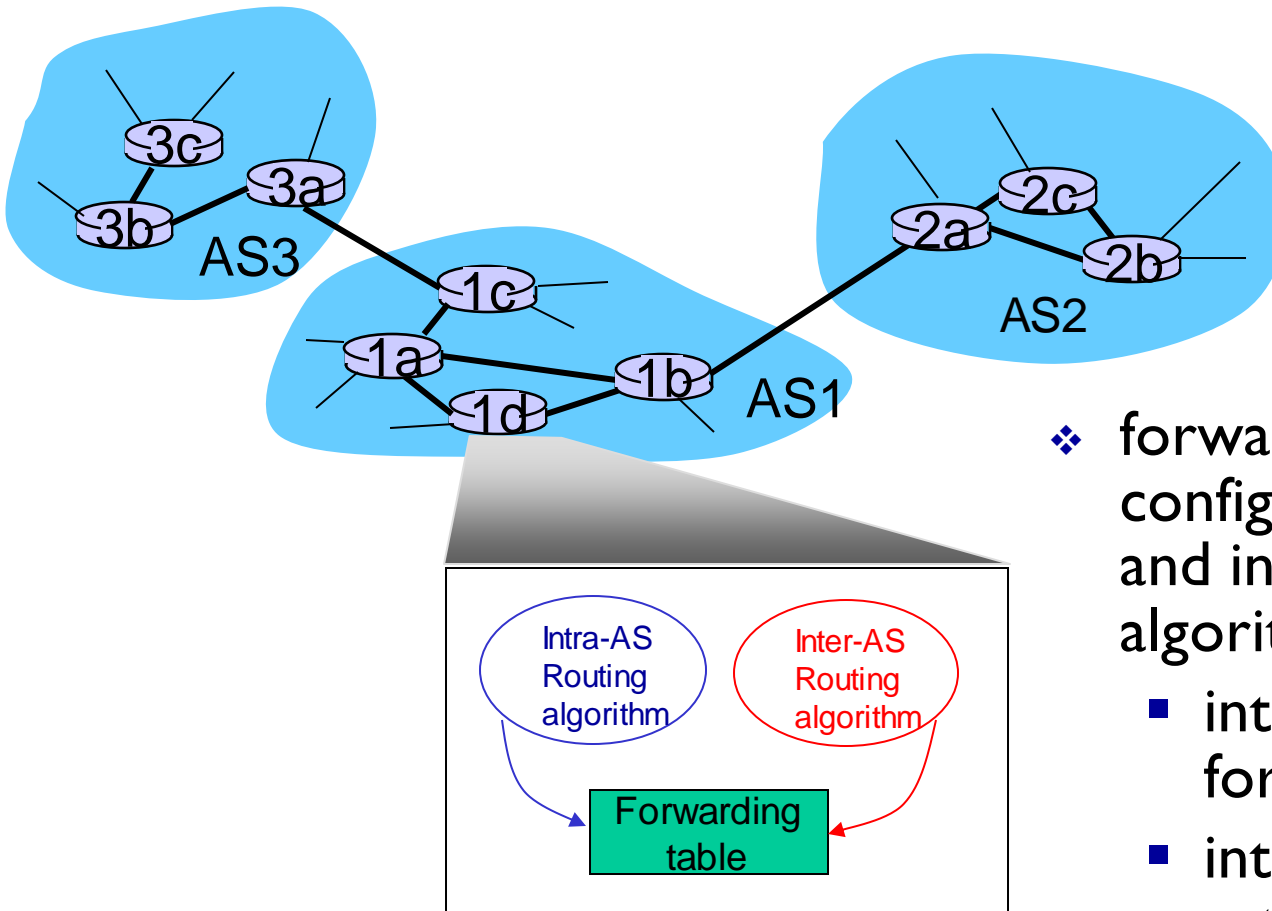
# Hierarchical routing

- ❖ aggregate routers into regions, “**autonomous systems**” (AS)
- ❖ routers in same AS run same routing protocol
  - “**intra-AS**” routing protocol
  - routers in different AS can run different intra-AS routing protocol

## *gateway router:*

- ❖ at “edge” of its own AS
- ❖ has link to router in another AS

# Interconnected ASes



- ❖ forwarding table configured by both intra- and inter-AS routing algorithm
  - intra-AS sets entries for internal dests
  - inter-AS & intra-AS sets entries for external dests

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4.5 routing algorithms

- link state
- distance vector
- hierarchical routing

4.6 routing in the Internet

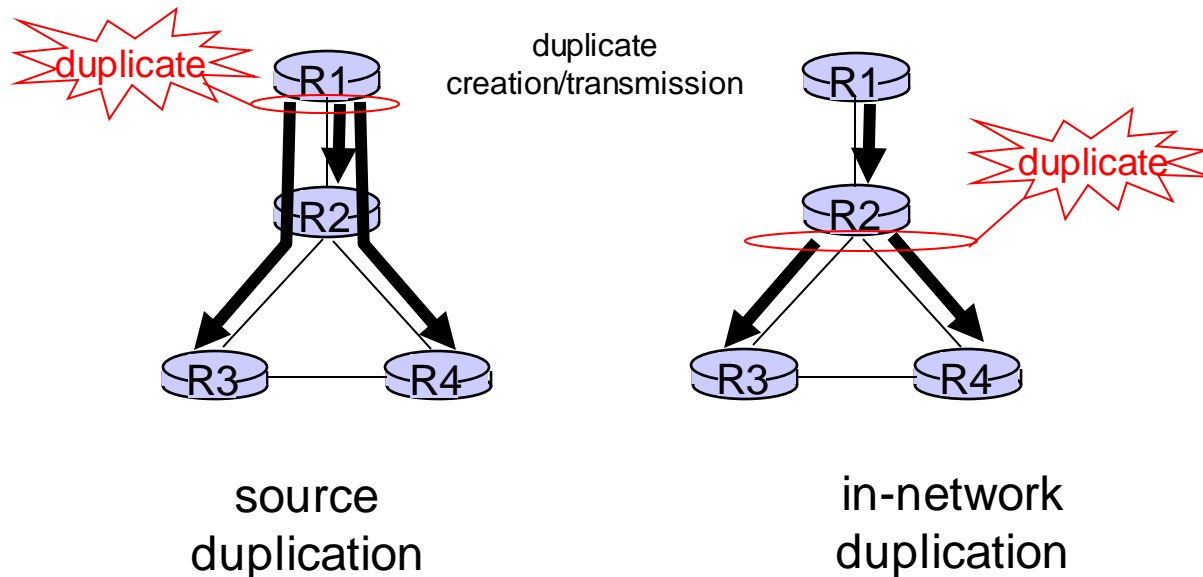
- RIP
- OSPF
- BGP

**4.7 broadcast and multicast routing**



# Broadcast routing

- ❖ deliver packets from source to all other nodes
- ❖ source duplication is inefficient:



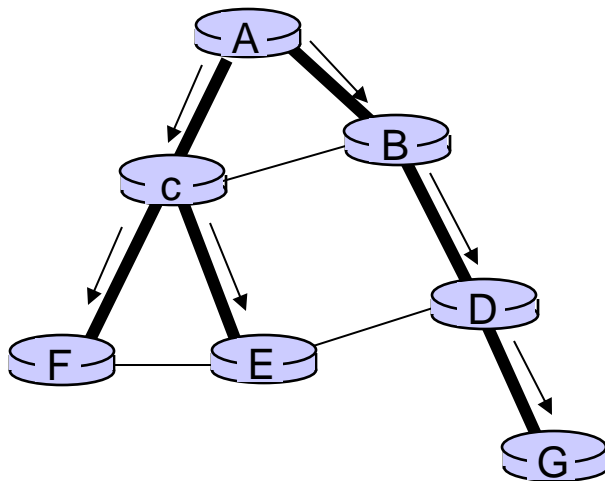
- ❖ source duplication: how does source determine recipient addresses?

# In-network duplication

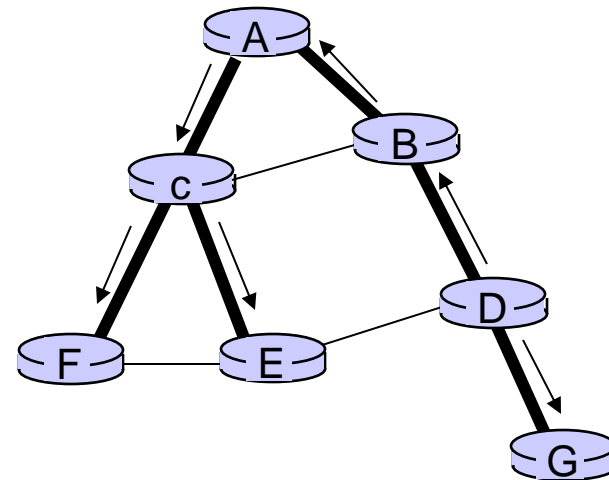
- ❖ *flooding*: when node receives broadcast packet, sends copy to all neighbors
  - problems: cycles & broadcast storm
- ❖ *controlled flooding*: node only broadcasts pkt if it hasn't broadcast same packet before
  - node keeps track of packet ids already broadcasted
  - or reverse path forwarding (RPF): only forward packet if it arrived on shortest path between node and source
- ❖ *spanning tree*:
  - no redundant packets received by any node

# Spanning tree

- ❖ first construct a spanning tree
- ❖ nodes then forward/make copies only along spanning tree



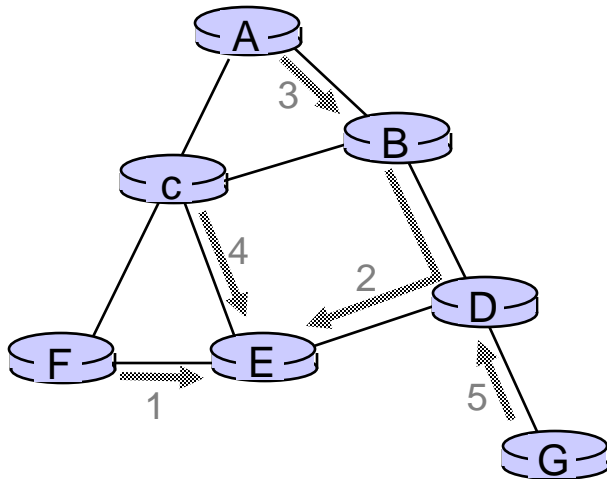
(a) broadcast initiated at A



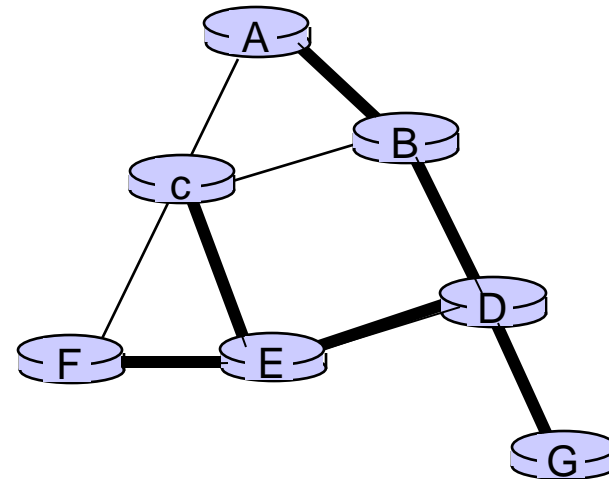
(b) broadcast initiated at D

# Spanning tree: creation

- ❖ center node
- ❖ each node sends unicast join message to center node
  - message forwarded until it arrives at a node already belonging to spanning tree



(a) stepwise construction of spanning tree (center: E)



(b) constructed spanning tree

# Multicast routing: problem statement

*goal:* find a tree (or trees) connecting routers having local mcast group members

- ❖ *tree:* not all paths between routers used
- ❖ *shared-tree:* same tree used by all group members
- ❖ *source-based:* different tree from each sender to rcvrs

*legend*



*group member*



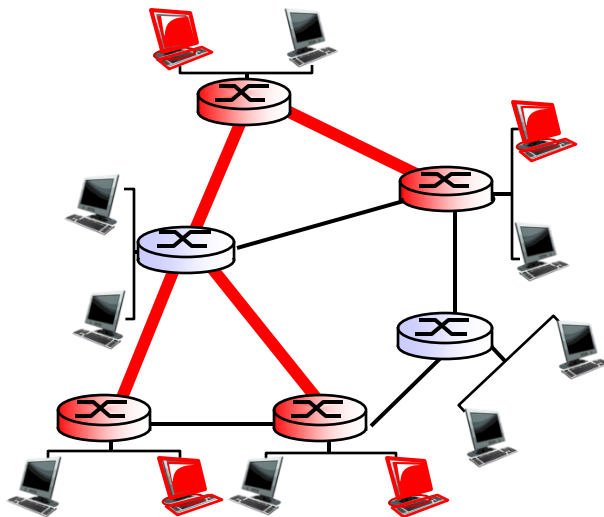
*not group member*



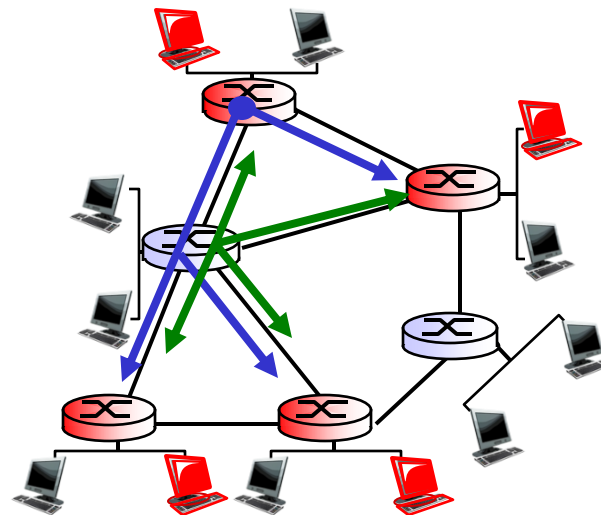
*router with a group member*



*router without group member*



shared tree



source-based trees

# Chapter 4: done!

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4.5 routing algorithms

- link state, distance vector, hierarchical routing

4.6 routing in the Internet

- RIP, OSPF, BGP

4.7 broadcast and multicast routing

- ❖ understand principles behind network layer services:
  - network layer service models, forwarding versus routing how a router works, routing (path selection), broadcast, multicast
- ❖ instantiation, implementation in the Internet